

On the Extinction of Multiple Shocks in Scalar Viscous Conservation Laws*

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Abstract. We are interested in the dynamics of interfaces, or zeros, of shock waves in general scalar viscous conservation laws with a locally Lipschitz continuous flux function, such as the modular Burgers equation. We prove that all interfaces coalesce within finite time, leaving behind either a single interface or no interface at all. Our proof relies on mass and energy estimates, regularization of the flux function, and an application of the Sturm theorems on the number of zeros of solutions of parabolic problems. Our analysis yields an explicit upper bound on the time of extinction in terms of the initial condition and the flux function. Moreover, in the case of a smooth flux function, we characterize the generic bifurcations arising at a coalescence event with and without the presence of odd symmetry. We identify associated scaling laws describing the local interface dynamics near collision. Finally, we present an extension of these results to the case of antishock waves converging to asymptotic limits of opposite signs. Our analysis is corroborated by numerical simulations of the modular Burgers equation.

Key words. viscous conservation law, multiple shocks, modular Burgers equation, bifurcations

MSC codes. 35B40, 37L15, 35Q70

DOI. 10.1137/24M1640628

1. Introduction. We consider shock and antishock waves with multiple interfaces in the scalar viscous conservation law

$$(1.1) \quad u_t = u_{xx} + f(u)_x, \quad t \geq 0, \quad x \in \mathbb{R}, \quad u(t, x) \in \mathbb{R},$$

where $f: \mathbb{R} \rightarrow \mathbb{R}$ is a locally Lipschitz continuous flux function. A classical example is the viscous Burgers equation with $f(u) = u^2$. Our regularity assumption on f allows for nonsmooth choices such as $f(u) = |u|$, yielding the modular Burgers equation, which has been used to model inelastic dynamics of particles with piecewise interaction potentials [7, 18] and whose behavior has been studied analytically and numerically in [10, 15, 17].

*Received by the editors February 21, 2024; accepted for publication (in revised form) by C. Kuehn June 8, 2024; published electronically August 30, 2024.

<https://doi.org/10.1137/24M1640628>

Funding: The first author was supported by Stewart Research Scholarship of McMaster University. The second author acknowledges the funding of this study provided by the grant FSWE-2023-0004 through the State task program in the sphere of scientific activity of the Ministry of Science and Higher Education of the Russian Federation and grant NSH-70.2022.1.5 for the State support of leading Scientific Schools of the Russian Federation. The work of the third author was funded by the Deutsche Forschungsgemeinschaft (DFG, German Research Foundation), Project-ID 258734477 - SFB 1173.

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Shock waves are solutions of (1.1) with initial data $u_0(x)$ converging to nonzero asymptotic limits ϕ_{\pm} as $x \rightarrow \pm\infty$, which satisfy $\phi_+ \neq \phi_-$ and obey the Gel'fand–Oleinik entropy condition

$$(1.2) \quad \frac{f(\phi_{\min}) - f(z)}{z - \phi_{\min}} > \frac{f(\phi_-) - f(\phi_+)}{\phi_+ - \phi_-}, \quad z \in (\phi_{\min}, \phi_{\max}),$$

where we denote $\phi_{\min} = \min\{\phi_-, \phi_+\}$ and $\phi_{\max} = \max\{\phi_-, \phi_+\}$. On the other hand, *antishock waves* are solutions of (1.1) with initial data $u_0(x)$ converging to nonzero asymptotic limits ϕ_{\pm} , which satisfy $\phi_+ \neq \phi_-$ and do not fulfill the entropy condition (1.2).

The Gel'fand–Oleinik entropy condition (1.2) is consistent with the existence of *traveling shock waves*, which are solutions of (1.1) of the form $u(t, x) = \phi(x - ct)$, where $c \in \mathbb{R}$ denotes the propagation speed and the profile $\phi: \mathbb{R} \rightarrow \mathbb{R}$ solves the differential equation

$$0 = \phi_{\xi} + c(\phi - \phi_-) + f(\phi) - f(\phi_-),$$

where $\xi := x - ct$. Here, the profile $\phi(\xi)$ converges to the asymptotic limits ϕ_{\pm} as $\xi \rightarrow \pm\infty$, and the speed c is given by the Rankine–Hugoniot condition

$$(1.3) \quad c = \frac{f(\phi_-) - f(\phi_+)}{\phi_+ - \phi_-}.$$

The traveling shock-wave solution $u(t, x) = \phi(x - ct)$ defined for $\phi_- \neq \phi_+$ exists if and only if the entropy condition (1.2) is fulfilled.

Traveling shock waves form an important class of asymptotic solutions of (1.1) in the sense that they serve as global attractors for shock waves. More precisely, for twice continuously differentiable flux functions f , it has been proven in [4, 8] that any shock-wave solution of the viscous conservation law (1.1) converges as $t \rightarrow \infty$ in both L^1 - and L^∞ -norm to a traveling shock wave, which necessarily possesses the same asymptotic limits ϕ_{\pm} at $\pm\infty$.

We are interested in the temporal dynamics of zeros, so-called *interfaces*, for shock- and antishock-wave solutions of the viscous conservation law (1.1). In our analysis, we distinguish among three classes of initial data u_0 , where both ϕ_+ and ϕ_- are nonzero:

- **Class I:** $u_0(x)$ converges to asymptotic limits ϕ_{\pm} of *opposite signs* as $x \rightarrow \pm\infty$, which obey the Gel'fand–Oleinik entropy condition (1.2);
- **Class II:** $u_0(x)$ converges to asymptotic limits ϕ_{\pm} of the *same sign* as $x \rightarrow \pm\infty$;
- **Class III:** $u_0(x)$ converges to asymptotic limits ϕ_{\pm} of *opposite signs* as $x \rightarrow \pm\infty$, which do *not* satisfy the entropy condition (1.2).

We note that solutions of (1.1) with initial data of the class I are shock waves, whereas solutions of (1.1) with initial data of class III are antishock waves. Although solutions of (1.1) with initial data of class II can be either shock or antishock waves, it is not necessary to distinguish between them in our analysis.

In addition to the above assumptions, we require that our initial datum u_0 is uniformly continuous and bounded and that $u_0 - \phi_{\pm}$ is L^1 -integrable on \mathbb{R}_{\pm} . Then, by the comparison principle and standard parabolic regularity theory [11], the solution of (1.1) with initial condition u_0 stays bounded and is continuously differentiable for all positive times while maintaining its asymptotic limits ϕ_{\pm} at $\pm\infty$. Nevertheless, if the flux function f is not continuously differentiable, as in the case of the modular Burgers equation, the second derivative of the solution of (1.1) may be discontinuous [10].

The classical Sturm theorems yield that, in parabolic semilinear equations, the number of zeros of solutions is nonincreasing over time. Moreover, if, at some time t_0 , the solution has an (isolated) multiple zero x_0 , then, in a sufficiently small neighborhood of x_0 , the number of zeros strictly decreases when t passes through t_0 . We refer to [5] for a survey on Sturm's theorems and their applications.

In this paper, we study *finite-time coalescence* of interfaces. In preliminary work [15], we showed that the evolution of odd shock waves with three symmetric interfaces in the modular Burgers equation leads to a finite-time coalescence of these interfaces to a single interface, and we conjectured a scaling law for the local interface dynamics near the collision event based on data fitting. In this work, we extend these results to general viscous conservation laws of the form (1.1) and establish finite-time coalescence of interfaces for all solutions with initial data of class I or II and thus of all shock-wave solutions. Moreover, we show that, in the specific case of the modular Burgers equation, solutions with initial data of class III, i.e., antishock waves, can also exhibit finite-time coalescence of interfaces.

For solutions of (1.1) with initial data of class I, we establish that all interfaces must coalesce to a single interface within finite time. The argument generalizes the idea from [15] and relies on a differential inequalities for the masses of $u(t, x) - \phi_+$ and $u(t, x) - \phi_-$ measured with respect to the position of the interface, in combination with smooth approximation of the flux function and an application of the Sturm theorem from [1]. Our analysis yields an explicit upper bound on the time at which all interfaces have collapsed to a single interface. We emphasize that, although the results in [4, 8] imply that solutions with initial data of class I converge in L^1 - and L^∞ -norms to a traveling shock wave, which must necessarily be strictly monotone and thus has precisely a single interface, this is not sufficient to conclude finite-time coalescence to a single interface because interfaces of the solution might accumulate close to the interface of the associated traveling shock wave.

We note that, for viscous conservation laws $u_t = \varepsilon u_{xx} + f(u)_x$ with small viscosity $\varepsilon > 0$ and smooth flux $f(u)$, the concept of metastability offers a refined understanding of the convergence of solutions with initial data of class I towards the traveling shock. One expects the formation of a single shock layer on a short time scale, after which, on a longer time scale, the layer slowly moves towards the traveling shock wave. This suggests that the coalescence to a single interface arises on a short time scale. See [9, 12, 20] and references therein for more background on slow dynamics and metastability in viscous conservation laws.

Initial data u_0 of class II can always be bounded from above or below by a smooth function \tilde{u}_0 , which satisfies $\tilde{u}_0(x) \rightarrow \tilde{u}_\infty$ as $x \rightarrow \pm\infty$, where $\tilde{u}_\infty \neq 0$ has the same sign as ϕ_\pm . For twice continuously differentiable flux functions f , the finite-time extinction of all interfaces of the solution $\tilde{u}(t, \cdot)$ of (1.1) with initial condition \tilde{u}_0 follows by evoking the result from [4] that $\tilde{u}(t, \cdot)$ converges in L^∞ -norm to the constant state \tilde{u}_∞ as $t \rightarrow \infty$. Consequently, the comparison principle yields the finite-time extinction of interfaces of the solution $u(t, \cdot)$ of (1.1) with initial condition u_0 . Yet, the result in [4] does not provide an explicit upper bound on the extinction time and does not readily apply to the current setting of locally Lipschitz continuous flux functions. To extend the conclusion to our setting, we apply a softer argument based on energy estimates, smooth approximation of the flux function, conservation of mass, and the Gagliardo–Nirenberg inequality to yield an explicit upper bound on the time at which all interfaces of $\tilde{u}(t, \cdot)$, and thus, also of $u(t, \cdot)$, have gone extinct; cf. Remark 3.6.

Whether solutions of (1.1) with initial data of class III do exhibit finite-time coalescence of interfaces to a single interface is currently an open problem. Since the entropy condition (1.2) is not fulfilled, there exists no traveling shock to which $u(t, \cdot)$ can converge in norm as $t \rightarrow \infty$. To shed some light on this open question, we consider antishock waves with initial data of class III in the modular Burgers equation with flux function $f(u) = |u|$. Our analysis indicates that, although all interfaces coalesce to a single interface in this case, the antishock wave converges *locally uniformly* to 0 as $t \rightarrow \infty$, suggesting that obtaining a result in general might be subtle or even false. Colloquially speaking, since the solution profile can converge to 0 uniformly, locally near interfaces, diffusion might be too weak to enforce coalescence of interfaces. In fact, recent results [6] imply that the ω -limit set (in the locally uniform topology induced by $L_{\text{loc}}^\infty(\mathbb{R})$) of bounded solutions of scalar viscous conservation laws (1.1) can be complicated in the sense that it can contain a solution that is neither a traveling shock nor a constant, underlining a fundamental difference between shock waves and general bounded solutions of (1.1).

In addition to establishing finite-time coalescence of interfaces of shock and antishock waves, we study the interface dynamics about a coalescence event in the case of a smooth flux function f . If a coalescence event occurs for a solution $u(t, x)$ of (1.1) at some time $t = t_0$ and point $x = \xi_0$, it must hold that $u_x(t_0, \xi_0) = 0$, and it follows from the classical Sturm theorems [1] that there exist $\delta > 0$ and a neighborhood $U \subset \mathbb{R}$ of ξ_0 such that, for $t \in (t_0 - \delta, t_0)$, there are at least two interfaces in U , and for $t \in (t_0, t_0 + \delta)$, there is at most one interface in U . Without the presence of additional symmetries, one generically has $u_{xx}(t_0, \xi_0) \neq 0$. We show that, in this situation, a *fold bifurcation* occurs. That is, there are precisely two interfaces $\xi_1(t) < \xi_2(t)$ in U for $t \in (t_0 - \delta, t_0)$ and no interfaces in U for $t \in (t_0, t_0 + \delta)$. Moreover, we obtain the scaling law

$$(1.4) \quad \xi_{1,2}(t) - \xi_0 \sim \pm \sqrt{2(t_0 - t)} \quad \text{as } t \rightarrow t_0^-.$$

In the case of an odd reflection symmetry, we generically have $u_{xx}(t_0, \xi_0) = 0$ and $u_{xxx}(t_0, \xi_0) \neq 0$. This leads to a *pitchfork bifurcation*, for which there are precisely three interfaces $\xi_1(t) < \xi(t) < \xi_2(t)$ in U for $t \in (t_0 - \delta, t_0)$ and exactly one interface $\xi(t)$ remains in U for $t \in (t_0, t_0 + \delta)$. We also identify the associated scaling laws

$$(1.5) \quad \xi_{1,2}(t) - \xi_0 \sim \pm \sqrt{6(t_0 - t)} \quad \text{as } t \rightarrow t_0^-$$

and

$$(1.6) \quad \xi(t) - \xi_0 \sim \alpha(t_0 - t) \quad \text{as } t \rightarrow t_0$$

for some $\alpha \in \mathbb{R}$. We show that the conditions for a pitchfork bifurcation are satisfied in the classical Burgers equation with flux function $f(u) = u^2$ for odd shock waves with a single zero on $(0, \infty)$. We note that the above results yield that the lower and upper bounds in Theorem B from [1] on the number of interfaces before and after a coalescence event are sharp.

Finally, we corroborate our results with numerical simulations of the modular Burgers equation. Our numerical approximations rely on a regularization of the modular nonlinearity and employ an elementary finite-difference scheme. These numerical approximations are different from those used in [15], where the modular Burgers equation was solved on a partition

of a real line complemented with additional boundary conditions at the interfaces. We study odd shock and antishock waves and observe finite-time coalescence of interfaces through a pitchfork bifurcation. In addition, the numerics confirms the same scaling law (1.5) for the interface extinction.

The derivation of scaling laws describing the interface dynamics near coalescence has been addressed in other contexts as well and appeared to be challenging. In [2], a linear inhomogeneous heat equation was considered as a simple model for oxygen diffusion. It was suggested that the oxygen front (the interface) collapses according to the scaling law $(t_0 - t)^{1/2}$. However, a more recent study in [14] based on new numerical algorithms for the time-dependent Stefan problem showed that the scaling law $(t_0 - t)^{1/2}$ is not accurate due to an additional singularity as $t \rightarrow t_0^-$. Other interface models were studied in [21, 22] by means of matched asymptotic expansions in the context of the Kolmogorov-Petrovsky-Piskunov equation with a discontinuous cut-off in the reaction function.

We conjecture that the scaling laws (1.4), (1.5), and (1.6) proven for smooth flux functions remain true for locally Lipschitz continuous flux functions such as the modular Burgers equation. However, this question remains open for future research.

This paper is organized as follows. In section 2, we state well-posedness and approximation results for solutions of the viscous conservation law (1.1). Section 3 is devoted to the analysis of finite-time coalescence of interfaces for solutions with initial data of classes I, II, and III. In section 4, we analyze the fold and pitchfork bifurcations describing the interface dynamics near coalescence events and derive associated scaling laws. Section 5 presents numerical simulations illustrating the pitchfork bifurcation for both shock and antishock waves in a regularized version of the modular Burgers equation. Appendix A contains the proofs of the well-posedness and approximation results of section 2.

2. Global well-posedness and approximation. In this section, we establish global well-posedness of uniformly continuous and bounded solutions of the viscous conservation law (1.1). We first consider smooth flux functions f before studying the general case of a locally Lipschitz continuous flux function. We show that, by locally approximating the flux function f by a smooth function \tilde{f} , one can approximate solutions $u(t, \cdot)$ of (1.1) on any finite time interval by a solution $\tilde{u}(t, \cdot)$ of the regularized problem

$$(2.1) \quad \tilde{u}_t = \tilde{u}_{xx} + \tilde{f}(\tilde{u})_x.$$

Proofs of all results formulated in this section can be found in Appendix A.

For smooth flux functions $f \in C^\infty(\mathbb{R})$, local existence and uniqueness of classical solutions of (1.1) follow readily by standard regularity theory for parabolic semilinear equations [11]. The fact that (1.1) obeys a comparison principle [16, 19] then yields global well-posedness. All in all, we establish the following result.

Lemma 2.1. *Let $f \in C^\infty(\mathbb{R})$ and $u_0 \in C_{\text{ub}}^1(\mathbb{R})$. Let $M_0 = \sup\{u_0(x) : x \in \mathbb{R}\}$ and $m_0 = \inf\{u_0(x) : x \in \mathbb{R}\}$. There exists a unique smooth global classical solution*

$$u \in C([0, \infty), C_{\text{ub}}^1(\mathbb{R})) \cap C((0, \infty), C_{\text{ub}}^2(\mathbb{R})) \cap C^1((0, \infty), C_{\text{ub}}(\mathbb{R}))$$

of (1.1) with initial condition $u(0, \cdot) = u_0$ such that $m_0 \leq u(t, x) \leq M_0$ for all $t \geq 0$ and $x \in \mathbb{R}$. Moreover, we have $u \in C^\infty((0, \infty) \times \mathbb{R}, \mathbb{R})$ with $\partial_t^k u(t, \cdot) \in C_{\text{ub}}^l(\mathbb{R})$ for $t \geq 0$ and $k, l \in \mathbb{N}_0$.

Next, we establish global well-posedness of solutions of (1.1) for locally Lipschitz continuous flux functions f . In this case, classical solutions in the sense of Lemma 2.1 cannot always be expected. For instance, the modular Burgers equation with flux function $f(u) = |u|$ admits, for any $\phi_{\pm} \in \mathbb{R}$ with $\phi_- < 0 < \phi_+$, a traveling shock-wave solution $u(t, x) = \phi(x - ct)$ converging to asymptotic limits ϕ_{\pm} and propagating with speed

$$c = \frac{\phi_+ + \phi_-}{\phi_- - \phi_+},$$

whose profile

$$\phi(\pm\xi) = \phi_{\pm} \left(1 - e^{-(1+c)\xi}\right), \quad \xi \geq 0$$

does lie in $C_{\text{ub}}^1(\mathbb{R})$, but not in $C_{\text{ub}}^2(\mathbb{R})$. Therefore, we consider *mild* solutions of (1.1), which solve the associated integral equation

$$(2.2) \quad u(t, \cdot) = e^{\partial_x^2 t} u_0 + \int_0^t \partial_x e^{\partial_x^2(t-s)} f(u(s, \cdot)) ds,$$

where $u(0, \cdot) = u_0 \in C_{\text{ub}}^1(\mathbb{R})$ denotes the initial condition and $e^{\partial_x^2 t}$ is the semigroup generated by ∂_x^2 on $C_{\text{ub}}(\mathbb{R})$. This heat semigroup is explicitly given by the Green's function representation

$$e^{\partial_x^2 t} v(x) = \int_{\mathbb{R}} K(t, x - y) v(y) dy$$

with kernel

$$K(t, x) = \frac{1}{\sqrt{4\pi t}} e^{-\frac{x^2}{4t}}.$$

Standard analytic semigroup theory in combination with the fact that f is locally Lipschitz continuous yields local existence and uniqueness of solutions of (2.2) in $C_{\text{ub}}(\mathbb{R})$. We note that it is important here to compose the derivative in (2.2) with the semigroup $e^{\partial_x^2(t-s)}$, rather than applying it to the flux function f , since f' is not necessarily locally Lipschitz continuous. Global well-posedness follows by approximating the solution $u(t, \cdot)$ of (2.2) by the global classical solution $\tilde{u}(t, \cdot)$ of the regularized problem (2.1), where $\tilde{f} \in C^\infty(\mathbb{R})$ is a smooth local approximation of f . This leads to the following result.

Lemma 2.2. *Let $f: \mathbb{R} \rightarrow \mathbb{R}$ be locally Lipschitz continuous and $u_0 \in C_{\text{ub}}^1(\mathbb{R})$. Let $M_0 = \sup\{u_0(x) : x \in \mathbb{R}\}$ and $m_0 = \inf\{u_0(x) : x \in \mathbb{R}\}$. There exists a unique global solution $u \in C([0, \infty), C_{\text{ub}}(\mathbb{R}))$ of (2.2) such that $m_0 \leq u(t, x) \leq M_0$ for all $t \geq 0$ and $x \in \mathbb{R}$. Moreover, for each $\tau > 0$, there exist constants $C_0, \delta_0 > 0$ such that, for each $\delta \in (0, \delta_0)$ and $\tilde{f} \in C^\infty(\mathbb{R})$ satisfying*

$$\sup \left\{ |f(v) - \tilde{f}(v)| : v \in [m_0, M_0] \right\} < \delta,$$

the global classical solution

$$(2.3) \quad \tilde{u} \in C([0, \infty), C_{\text{ub}}^1(\mathbb{R})) \cap C((0, \infty), C_{\text{ub}}^2(\mathbb{R})) \cap C^1((0, \infty), C_{\text{ub}}(\mathbb{R}))$$

of the regularized equation (2.1) with $\tilde{u}(0, \cdot) = u_0$, established in Lemma 2.1, obeys the estimates $m_0 \leq \tilde{u}(t, x) \leq M_0$ for all $t \geq 0$ and $x \in \mathbb{R}$ and

$$(2.4) \quad \sup_{0 \leq s \leq \tau} \|u(t, \cdot) - \tilde{u}(t, \cdot)\|_{\infty} \leq C_0 \delta.$$

Next, we approximate mild solutions of (1.1) by solutions of the regularized equation (2.1) in C_{ub}^1 -norm rather than in C_{ub} -norm. The approximation in C_{ub}^1 -norm will be used in the upcoming analysis to conclude that a single interface of the approximate solution also yields a single interface of the original solution.

Lemma 2.3. *Let $f: \mathbb{R} \rightarrow \mathbb{R}$ be locally Lipschitz continuous. Let $u \in C([0, \infty), C_{\text{ub}}^1(\mathbb{R}))$ be a global solution of (2.2) with initial condition $u(0) = u_0 \in C_{\text{ub}}^1(\mathbb{R})$. Set $M_0 = \sup\{u_0(x) : x \in \mathbb{R}\}$ and $m_0 = \inf\{u_0(x) : x \in \mathbb{R}\}$. Let $R, \tau, \varepsilon > 0$. There exists $\delta_0 > 0$ such that, for each $\delta \in (0, \delta_0)$ and $\tilde{f} \in C^\infty(\mathbb{R})$ satisfying*

$$\sup\{|f(v) - \tilde{f}(v)| : v \in [m_0, M_0]\} < \delta, \quad \sup\{|\tilde{f}'(v)| : v \in [m_0, M_0]\} \leq R,$$

the global classical solution (2.3) of the regularized equation (2.1) with initial condition $\tilde{u}(0, \cdot) = u_0$, established in Lemma 2.1, obeys the estimates

$$(2.5) \quad m_0 \leq \tilde{u}(t, x) \leq M_0, \quad \sup_{0 \leq s \leq \tau} \|u(s, \cdot) - \tilde{u}(s, \cdot)\|_{W^{1, \infty}} < \varepsilon$$

for $x \in \mathbb{R}$ and $t \geq 0$.

We emphasize that Lemma 2.3, in contrast to Lemma 2.2, is merely an approximation result and does not imply the existence of a global mild solution in $C_{\text{ub}}^1(\mathbb{R})$. This suffices for our purposes because we only apply Lemma 2.3 to establish finite-time coalescence of interfaces for solutions of (1.1) with initial data of class I, for which global existence of a mild solution in $C_{\text{ub}}^1(\mathbb{R})$ follows from a separate well-posedness result, which we will formulate next.

In the case of initial data of class I, the entropy condition (1.2) yields the existence of a traveling shock wave with the same limits at $\pm\infty$. We require that the difference between the initial condition and the traveling shock wave be L^1 -integrable and show that this integrability is maintained over time, which will be important for the mass and energy estimates in the upcoming proofs establishing finite-time coalescence of interfaces in section 3. Moreover, by integrating the viscous conservation law (1.1), we obtain global well-posedness of mild solutions in $C_{\text{ub}}^1(\mathbb{R})$ rather than in $C_{\text{ub}}(\mathbb{R})$.

Lemma 2.4. *Let $f: \mathbb{R} \rightarrow \mathbb{R}$ be locally Lipschitz continuous, and let $u_0 \in C_{\text{ub}}^1(\mathbb{R})$. Suppose that there exist $c, C \in \mathbb{R}$ and a solution $\phi \in C_{\text{ub}}^1(\mathbb{R})$ of the profile equation*

$$0 = \phi_\xi + c\phi + f(\phi) + C.$$

Suppose that $u_0 - \phi$ is L^1 -integrable. Then, there exists a unique solution $u \in C([0, \infty), C_{\text{ub}}^1(\mathbb{R}))$ of (2.2) such that $u(t, \cdot) - \phi$ is L^1 -integrable for all $t \geq 0$.

3. Finite-time coalescence of interfaces. Here, we establish finite-time coalescence of interfaces for solutions $u(t, \cdot)$ of (1.1) with initial data $u(0, \cdot) = u_0 \in C_{\text{ub}}^1(\mathbb{R})$ of class I or II. We emphasize that solutions with such initial data include all shock waves. On the other hand, antishock waves converging to asymptotic limits of opposite signs are not included. We study finite-time coalescence of interfaces of this type of antishock wave at the end of this section in the specific setting of the modular Burgers equation.

3.1. Solutions with initial data of class I. Observing that solutions $u(t, x)$ of (1.1) with initial data $u(0, \cdot) = u_0 \in C_{\text{ub}}^1(\mathbb{R})$ of type I maintain their asymptotic limits ϕ_{\pm} as $x \rightarrow \pm\infty$ for every $t > 0$ by Lemma 2.4, it readily follows that the solution possesses at least one interface for all $t \geq 0$ since ϕ_+ and ϕ_- have opposite signs. We establish that all interfaces coalesce to a single one within finite time in this case.

Theorem 3.1. *Let $f: \mathbb{R} \rightarrow \mathbb{R}$ be locally Lipschitz continuous and $u_0 \in C_{\text{ub}}^1(\mathbb{R})$. Suppose that $u_0(x)$ converges to asymptotic limits ϕ_{\pm} as $x \rightarrow \pm\infty$ such that ϕ_+ and ϕ_- have opposite signs and the Gel'fand–Oleinik entropy condition (1.2) holds. Moreover, assume that $u_0 - \phi_{\pm}$ is L^1 -integrable on \mathbb{R}_{\pm} and that we have $u_0(x) \in [\min\{\phi_-, \phi_+\}, \max\{\phi_-, \phi_+\}]$ for all $x \in \mathbb{R}$. Let $u \in C([0, \infty), C_{\text{ub}}^1(\mathbb{R}))$ be the global mild solution of (1.1), established in Lemma 2.4. Then, there exists a time $T > 0$ such that, for all $t > T$, the solution $u(t, \cdot)$ possesses precisely one zero.*

The proof of Theorem 3.1 is based on ideas developed in [15], where it is shown that the interfaces of odd shock waves in the modular Burgers equation coalesce to a single one within finite time. The analysis in [15] relies on a differential inequality for the mass measured with respect to the fixed interface at 0. Indeed, due to odd symmetry, 0 is necessarily an interface of the shock wave for all time and must be the middle interface.

In the general setting considered here, without the presence of an odd symmetry, interfaces are a priori not fixed, which suggests mass functions of the form

$$(3.1) \quad \mathcal{M}_1(t) = \int_{-\infty}^{\xi_2(t)} (u(t, x) - \phi_-) dx, \quad \mathcal{M}_2(t) = \int_{\xi_2(t)}^{\infty} (\phi_+ - u(t, x)) dx,$$

where $\xi_2(t)$ is an interface of $u(t, \cdot)$, which now depends on time. As in [15], we aim to show that the assumption that $\xi_2(t)$ is an interface lying strictly between two other interfaces $\xi_1(t), \xi_3(t)$ leads to a contradiction with certain inequalities obeyed by the mass functions $\mathcal{M}_1(t)$ and $\mathcal{M}_2(t)$. This then yields an explicit time $T > 0$ such that $\xi_1(t) < \xi_2(t) < \xi_3(t)$ cannot hold for $t > T$.

To derive the desired inequalities for $\mathcal{M}_1(t)$ and $\mathcal{M}_2(t)$, a standard strategy is to differentiate with respect to time (using the Leibniz integral rule) and use (1.1) to express temporal derivatives of $u(t, x)$. Yet, as mentioned in section 2, it cannot be expected in the case of a locally Lipschitz continuous flux function f that $u(t, x)$ is a classical solution of (1.1), which is differentiable with respect to time and twice differentiable with respect to space. In addition, even if the flux function f were smooth, the interface $\xi_2(t)$, being a root of the C^1 -function $u(t, x)$, is not necessarily differentiable. In fact, the upcoming analysis in section 4 shows that $\xi_2(t)$ may fail to be differentiable if two interfaces collide.

To address the first challenge, we approximate the solution $u(t, x)$ of (1.1) by a classical solution $\tilde{u}(t, x)$ of the regularized problem (2.1), where \tilde{f} is a smooth approximation of f and $\tilde{u}(t, \cdot)$ has the same initial condition as $u(t, \cdot)$. We then aim to show that any three interfaces $\tilde{\xi}_1(t) \leq \tilde{\xi}_2(t) \leq \tilde{\xi}_3(t)$ of $\tilde{u}(t, \cdot)$ coalesce to a single interface within finite time. We address the second challenge by approximating $\tilde{\xi}_2(t)$ on a compact time interval by a sequence of smooth approximations $\tilde{\xi}_{2,n}(t)$. Thus, the mass functions (3.1) with $u(t, x)$ replaced by $\tilde{u}(t, x)$ and $\xi_2(t)$ by $\tilde{\xi}_{2,n}(t)$ are differentiable with respect to t , and we can obtain the desired inequalities, which then yield that the interfaces $\tilde{\xi}_1(t), \tilde{\xi}_2(t)$ and $\tilde{\xi}_3(t)$ of $\tilde{u}(t, x)$ coalesce to a single interface before an explicit time $T > 0$, which is independent of the approximation function \tilde{f} .

The approximation of the flux f by a smooth function \tilde{f} introduces an additional difficulty. Even with control on the norm $\|u(t, \cdot) - \tilde{u}(t, \cdot)\|_{W^{1,\infty}}$ through Lemma 2.3, the fact that $\tilde{u}(t, \cdot)$ possesses a single interface is not sufficient to conclude that $u(t, \cdot)$ has a single interface because interfaces of $u(t, \cdot)$ might accumulate close to the single interface of $\tilde{u}(t, \cdot)$. We address this issue by bounding the derivative $\partial_x \tilde{u}(t, \cdot)$ at the interface away from 0, precluding the accumulation of multiple interfaces of $u(t, \cdot)$ close to the single interface of $\tilde{u}(t, \cdot)$.

We bound the derivative of $\tilde{u}(t, \cdot)$ away from 0 by considering a traveling shock-wave solution $\tilde{u}_{tw}(t, x) = \psi(x - ct)$ of (2.1), which propagates at some speed $c \in \mathbb{R}$ and connects asymptotic limits ψ_{\pm} of opposite signs satisfying $|\psi_{\pm}| < |\phi_{\pm}|$. Upon switching to a co-moving frame, we may, without loss of generality, assume that $c = 0$. We then show, with the same methods as before, that all interfaces of the difference $v(t, \cdot) = \tilde{u}(t, \cdot) - \psi$ converge to a single interface within finite time; see Figure 3.1. This then yields the desired lower bound on $\|\partial_x \tilde{u}(t, \cdot)\|_{L^\infty}$. Using that $\|u(t, \cdot) - \tilde{u}(t, \cdot)\|_{W^{1,\infty}}$ can be taken sufficiently small by taking a better approximation \tilde{f} of f if necessary, we thus conclude that the solution $u(t, \cdot)$ must have a single interface for $t > T$ since the same holds for the approximation $\tilde{u}(t, \cdot)$.

Before we proceed with the proof of Theorem 3.1, we first state the following technical lemma, which establishes a suitable smooth approximation \tilde{f} of the flux function f in (1.1). Naturally, we require that \tilde{f} lies sufficiently close to f and its derivative is well-behaved. Moreover, we wish that the regularized problem (2.1) admits a traveling shock-wave solution connecting the asymptotic states ϕ_{\pm} but also a traveling shock wave with asymptotic limits ψ_{\pm} of opposite signs lying between ϕ_- and ϕ_+ ; see also Figure 3.1. Without loss of generality, we can restrict to the case $\phi_- < 0 < \phi_+$, and we may assume that $f(\phi_+) = f(\phi_-)$ by replacing $f(u)$ by $f(u) + cu$, where c is given by the Rankine–Hugoniot condition (1.3).

Lemma 3.2. *Let f be locally Lipschitz continuous, and let $\phi_{\pm} \in \mathbb{R}$ with $\phi_- < 0 < \phi_+$. Suppose that $f(\phi_+) = f(\phi_-)$ and the Gel'fand–Oleinik entropy condition*

$$(3.2) \quad f(z) - f(\phi_{\pm}) < 0$$

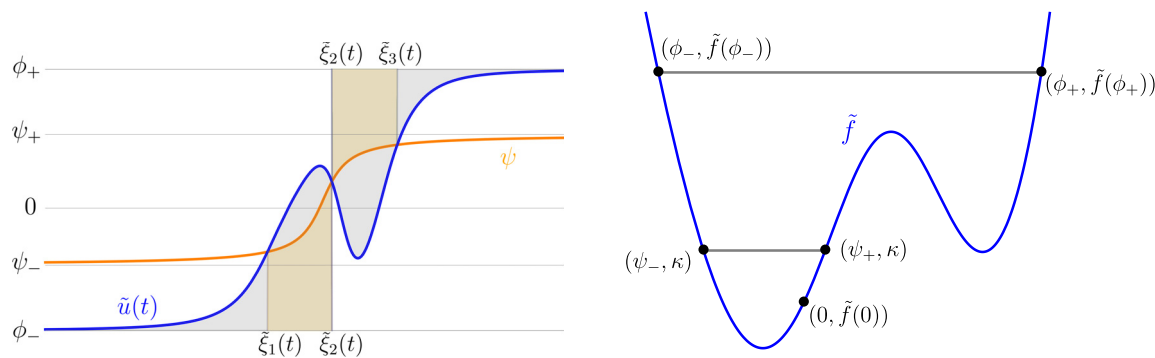


Figure 3.1. *Left: the approximate shock-wave solution $\tilde{u}(t, \cdot)$ of (2.1) with asymptotic limits ϕ_{\pm} , the traveling shock wave ψ with asymptotic limits ψ_{\pm} , and the interfaces $\tilde{\xi}_1(t), \tilde{\xi}_2(t)$, and $\tilde{\xi}_3(t)$ of the difference $v(t, \cdot) = \tilde{u}(t, \cdot) - \psi$. In the proof of Theorem 3.1, we bound the shaded areas above and below the graph of $\tilde{u}(t, \cdot)$ from below by the orange subareas. Right: the smooth approximation \tilde{f} of the flux function f , established in Lemma 3.2. One observes that the regularized problem (2.1) admits a standing shock-wave solution connecting the asymptotic limits ϕ_{\pm} and one connecting the asymptotic states ψ_{\pm} , where $\phi_- < \psi_- < 0 < \psi_+ < \phi_+$.*

holds for all $z \in (\phi_-, \phi_+)$. Then, for each $\kappa \in (f(0), f(\phi_\pm))$, there exists a constant $R > 0$ such that, for all $\delta \in (0, \kappa - f(0))$, there exist $\tilde{f} \in C^\infty(\mathbb{R})$ and $\psi_\pm \in (\phi_-, \phi_+)$ with $\psi_- < 0 < \psi_+$ such that the following assertions hold:

i) For all $z \in (\phi_-, \phi_+)$, we have

$$(3.3) \quad \tilde{f}(\phi_+) = \tilde{f}(\phi_-), \quad \tilde{f}'(\phi_\pm) \neq 0, \quad \tilde{f}(z) - \tilde{f}(\phi_\pm) < 0.$$

ii) For all $z \in (\psi_-, \psi_+)$, it holds that

$$(3.4) \quad \tilde{f}(\psi_+) = \kappa = \tilde{f}(\psi_-), \quad \tilde{f}'(\psi_\pm) \neq 0, \quad \tilde{f}(z) - \tilde{f}(\psi_\pm) < 0.$$

iii) For all $z \in [\phi_-, \phi_+]$, we have

$$(3.5) \quad |f(z) - \tilde{f}(z)| < \delta, \quad |\tilde{f}'(z)| < R.$$

Proof. We first recall that, since f is locally Lipschitz continuous, Rademacher's theorem asserts that f is differentiable almost everywhere and that its derivative f' is essentially bounded on each bounded interval. We denote

$$R_1 := \sup\{|f'(u)| : u \in [\phi_-, \phi_+]\}.$$

Take $\delta \in (0, \kappa - f(0))$. Let $\Phi: \mathbb{R} \rightarrow \mathbb{R}$ be a mollifier with $\|\Phi\|_1 = 1$, $\Phi(x) > 0$ for $x \in (\phi_-, \phi_+)$ and $\Phi(x) = 0$ for $x \in \mathbb{R} \setminus (\phi_-, \phi_+)$. Set $\Phi_\eta(x) = \Phi(x/\eta)/\eta$ for $\eta > 0$. The function $g: \mathbb{R} \rightarrow \mathbb{R}$ given by $g(x) = \min\{f(x) + \frac{\delta}{4}, f(\phi_-)\}$ is locally Lipschitz continuous. Moreover, it holds that $|g'(x)| \leq |f'(x)|$ for each $x \in [\phi_-, \phi_+]$. Since g is continuous, it can be approximated by the sequence $g_\eta := \Phi_\eta * g$ of smooth functions. That is, there exists $\eta_0 > 0$ such that

$$|g_\eta(u) - g(u)| < \frac{\delta}{4}$$

for all $u \in [\phi_-, \phi_+]$ and $\eta \in (0, \eta_0)$. By construction, we have $g_\eta(x) \leq f(\phi_\pm)$ for all $x \in \mathbb{R}$ and $\eta > 0$. In addition, since g is constant in a neighborhood of ϕ_\pm and it holds that $g'_\eta = \Phi_\eta * g'$, there exists $\eta_1 \in (0, \eta_0)$ such that $g_\eta(\phi_\pm) = f(\phi_\pm)$ and $|g'_\eta(u)| \leq \|\Phi_\eta\|_1 R_1 = R_1$ for all $\eta \in (0, \eta_1]$. We conclude that $\tilde{g} = g_{\eta_1} - \delta\Phi/(4\|\Phi\|_\infty)$ is a smooth function that satisfies $\tilde{g}(z) < \tilde{g}(\phi_\pm) = f(\phi_\pm)$ for $z \in (\phi_-, \phi_+)$. Moreover, it holds that

$$|\tilde{g}(u) - f(u)| \leq |g_{\eta_1}(u) - g(u)| + \frac{\delta}{4} + |g(u) - f(u)| < \frac{3\delta}{4}$$

and

$$|\tilde{g}'(u)| \leq R_1 + \frac{\delta\|\Phi'\|_\infty}{4\|\Phi\|_\infty}$$

for $u \in [\phi_-, \phi_+]$.

Since we have $\tilde{g}(0) < f(0) + \delta < \kappa < f(\phi_\pm) = \tilde{g}(\phi_\pm)$, the open set $\tilde{g}^{-1}[\{z \in \mathbb{R} : z < \kappa\}]$ must contain an interval (ψ_-, ψ_+) with $\phi_- < \psi_- < 0 < \psi_+ < \phi_+$ and $\tilde{g}(\psi_+) = \kappa = \tilde{g}(\psi_-)$. Hence, it holds that $\tilde{g}(z) < \tilde{g}(\psi_\pm)$ for $z \in (\psi_-, \psi_+)$. Finally, set $d = \frac{1}{2} \min\{\phi_+ - \psi_+, \psi_+, -\psi_-, \psi_- - \phi_-\} > 0$, and let $\Psi: \mathbb{R} \rightarrow \mathbb{R}$ be an even, smooth cut-off function such that $\Psi(0) = 1$, $\|\Psi\|_\infty \leq 1$,

$\Psi(x) > 0$ for all $x \in (-d, d)$ and $\Psi(x) = 0$ for all $x \in \mathbb{R} \setminus (-d, d)$. Recalling the properties of the function \tilde{g} , we conclude that, for any $\kappa_{\pm}, \lambda_{\pm} \in [0, \delta/(4(\phi_+ - \phi_-))]$, the smooth function

$$\begin{aligned} \tilde{f}(x) = & \tilde{g}(x) - \kappa_-(x - \phi_-)\Psi(x - \phi_-) - \lambda_-(x - \psi_-)\Psi(x - \psi_-) + \lambda_+(x - \psi_+)\Psi(x - \psi_+) \\ & + \kappa_+(x - \phi_+)\Psi(x - \phi_+) \end{aligned}$$

satisfies (3.5); it holds that

$$\tilde{f}(\phi_+) = \tilde{f}(\phi_-), \quad \tilde{f}'(\phi_{\pm}) = \tilde{g}'(\phi_{\pm}) \pm \kappa_{\pm}, \quad \tilde{f}(z) - \tilde{f}(\phi_{\pm}) < 0$$

for all $z \in (\phi_-, \phi_+)$, and we have

$$(3.6) \quad \tilde{f}(\psi_+) = \kappa = \tilde{f}(\psi_-), \quad \tilde{f}'(\psi_{\pm}) = \tilde{g}'(\psi_{\pm}) \pm \lambda_{\pm}, \quad \tilde{f}(z) - \tilde{f}(\psi_{\pm}) < 0$$

for all $z \in (\psi_-, \psi_+)$. Hence, choosing $\kappa_{\pm}, \lambda_{\pm} \in [0, \delta/(4(\phi_+ - \phi_-))]$ in such a way that $\tilde{f}'(\phi_{\pm}), \tilde{f}'(\psi_{\pm}) \neq 0$, we find that \tilde{f} satisfies (3.3), (3.4), and (3.5). ■

Having established a suitable approximation \tilde{f} of the flux function f , we now provide the proof of Theorem 3.1 following the outline sketched above.

Proof of Theorem 3.1. We consider the case $\phi_- < 0 < \phi_+$. The case $\phi_+ < 0 < \phi_-$ is handled analogously. Clearly, the zeros (including their multiplicities) of $u(t, \cdot)$ are the same as those of the translate $u(t, \cdot - ct)$ for any $t \geq 0$. Thus, upon replacing $f(u)$ by $f(u) + cu$ in (1.1), where c is given by (1.3), we may assume that

$$f(\phi_+) = f(\phi_-)$$

so that (1.2) yields

$$f(z) - f(\phi_+) = f(z) - f(\phi_-) < 0$$

for all $z \in (\phi_-, \phi_+)$. By continuity of f , there exists $\eta > 0$ such that, for all $z \in [\phi_-, \phi_- + \eta] \cup [\phi_+ - \eta, \phi_+]$, it holds that

$$(3.7) \quad f(z) > \frac{f(\phi_{\pm}) + f(0)}{2}.$$

Note that, since $f(0) < f(\phi_{\pm})$, we must have $\eta < |\phi_{\pm}|$. Since u_0 is continuous and converges to $\phi_{\pm} \neq 0$ as $x \rightarrow \pm\infty$, the function $u_0 - \phi_+ + \eta$ possesses a largest root ξ_+ and $u_0 - \phi_- - \eta$ possesses a smallest root ξ_- . We set

$$(3.8) \quad T = \frac{\max \left\{ \int_{-\infty}^{\xi_+} (u_0(x) - \phi_-) dx, \int_{\xi_-}^{\infty} (\phi_+ - u_0(x)) dx \right\}}{f(\phi_+) - f(0)} > 0.$$

We argue by contradiction and assume that there exists $\tau > T$ such that $u(\tau, \cdot)$ has at least two distinct zeros. Then, since u is continuously differentiable, there must exist a zero x_0 of $u(\tau, \cdot)$ with $u_x(\tau, x_0) \leq 0$. Fix $\kappa > f(0)$ such that

$$(3.9) \quad (\kappa - f(0))\tau < (f(\phi_-) - f(0))(\tau - T), \quad \kappa < \frac{f(0) + f(\phi_{\pm})}{2}.$$

Denote by $L > 0$ the Lipschitz constant of f on $[\phi_-, \phi_+]$, and let $R > 0$ be the constant from Lemma 3.2 (which depends on κ). Fix $\varepsilon > 0$ such that

$$(3.10) \quad (L+2)\varepsilon < \kappa - f(0), \quad (R+1)\varepsilon < \kappa - f(0), \quad \varepsilon < \min\{M_\eta, m_\eta\}.$$

Finally, let $\delta_0 > 0$ be the constant from Lemma 2.3 (which depends on $R, \tau, \varepsilon > 0$), and take $\delta > 0$ such that

$$(3.11) \quad \delta < \min\{\delta_0, \varepsilon, \kappa - f(0)\}, \quad \delta < \frac{f(0) + f(\phi_\pm)}{2} - \kappa, \\ \delta\tau < (f(\phi_-) - f(0))(\tau - T) - (\kappa - f(0))\tau,$$

which is possible by (3.9).

By Lemma 3.2, there exist $\tilde{f} \in C^\infty(\mathbb{R})$ and $\psi_\pm \in (\phi_-, \phi_+)$ with $\psi_- < 0 < \psi_+$ satisfying (3.3), (3.4), and (3.5). Lemma 2.3 then yields a global classical solution (2.3) of (2.1) with initial condition $\tilde{u}(0, \cdot) = u_0$ satisfying (2.5). Then, it must hold that

$$(3.12) \quad \tilde{u}_x(\tau, x_0) \leq \varepsilon, \quad |\tilde{u}(\tau, x_0)| \leq \varepsilon.$$

On the other hand, the mean value theorem implies that

$$\kappa - \tilde{f}(0) = \tilde{f}(\psi_\pm) - \tilde{f}(0) \leq R|\psi_\pm|.$$

Combining the latter with (3.5), and (3.10) yields

$$(3.13) \quad |\psi_\pm| \geq \frac{\kappa - f(0) - \delta}{R} \geq \frac{\kappa - f(0) - \varepsilon}{R} > \varepsilon.$$

On the other hand, (3.4), (3.7), and (3.9) imply that

$$(3.14) \quad \phi_- + \eta < \psi_- < 0 < \psi_+ < \phi_+ - \eta.$$

By (3.3) and (3.4), there exist heteroclinic solutions $\phi(x)$ and $\psi(x)$ of the profile equations

$$(3.15) \quad 0 = \phi_\xi + \tilde{f}(\phi) - \tilde{f}(\phi_\pm), \quad 0 = \psi_\xi + \tilde{f}(\psi) - \tilde{f}(\psi_\pm),$$

respectively, converging exponentially to the asymptotic limits ϕ_\pm and ψ_\pm , respectively, as $x \rightarrow \pm\infty$. Since $u_0 - \phi_\pm$ is L^1 -integrable on \mathbb{R}_\pm , so is $u_0 - \phi$. Therefore, Lemma 2.4 yields that $\tilde{u}(t, \cdot) - \phi$ is L^1 -integrable for all $t \geq 0$. We conclude that $\tilde{u}(t, \cdot) - \phi_\pm$ is L^1 -integrable on \mathbb{R}_\pm for all $t \geq 0$.

Using (3.12) and (3.13) and the fact that $\psi(x)$ is strictly monotone and converges to ψ_\pm as $x \rightarrow \pm\infty$, there must exist a translate $x_1 \in \mathbb{R}$ such that the point $(x_0, \tilde{u}(\tau, x_0))$ lies on the graph of $\psi(\cdot - x_1)$. Our aim is to show that the difference $v(t, \cdot) = \tilde{u}(t, \cdot) - \psi(\cdot - x_1)$ has only a single zero at $t = \tau$, which must lie at x_0 . This then leads to a contradiction with (3.9), (3.11), (3.10), and (3.12) by our choice of constants κ, ε , and δ .

Upon replacing the traveling shock wave ψ by its translate $\psi(\cdot - x_1)$, we may, without loss of generality, assume that $x_1 = 0$. We observe that

$$(3.16) \quad v \in C([0, \infty), C_{\text{ub}}^1(\mathbb{R})) \cap C((0, \infty), C_{\text{ub}}^2(\mathbb{R})) \cap C^1((0, \infty), C_{\text{ub}}(\mathbb{R}))$$

is a global classical solution of the equation

$$(3.17) \quad v_t = v_{xx} + \tilde{f}(v + \psi)_x - \tilde{f}(\psi)_x.$$

We can apply the Sturm theorem, [1, Theorem B], upon recasting (3.17) as the linear parabolic equation

$$(3.18) \quad v_t = v_{xx} + b(t, x)v_x + a(t, x)v$$

with

$$b(t, x) = \tilde{f}'(v(t, x) + \psi(t, x)), \quad a(t, x) = \psi_x(t, x) \frac{\tilde{f}'(v(t, x) + \psi(x)) - \tilde{f}'(\psi(x))}{v(t, x)},$$

where we note that a , b , b_x , and b_t are bounded on the strip $\mathbb{R} \times [0, s]$ for any $s > 0$ by (3.16) and the fact that \tilde{f} and ψ are smooth. Applying [1, Theorem B] to (3.18) yields that, if it holds that $v(t_0, \xi_0) = 0 = v_x(t_0, \xi_0)$ at some $(t_0, \xi_0) \in (0, \infty) \times \mathbb{R}$, then there exist $\theta \in (0, t_0)$ and a neighborhood $U \subset \mathbb{R}$ of ξ_0 such that, for $t \in (t_0 - \theta, t_0)$, there are at least two zeros of $v(t, \cdot)$ in U , and for $t \in (t_0, t_0 + \theta)$, there is at most one zero of $v(t, \cdot)$ in U . Noting that $v(t, x)$ is continuously differentiable with respect to x and t , this leads to two important observations. First, no new zeros of $v(t, \cdot)$ can form dynamically over time. Second, multiple roots are isolated in $\mathbb{R} \times (0, \infty)$.

Now, assume by contradiction that, for all $t \in [0, \tau]$, there exist at least two zeros of $v(t, \cdot)$. A consequence of the above two observations, the regularity of $v(t, \cdot)$ and the fact that $v(t, \cdot)$ converges to $\phi_{\pm} - \psi_{\pm}$ at $\pm\infty$ with $\phi_- - \psi_- < 0 < \phi_+ - \psi_+$, is that there must be three functions $\tilde{\xi}_{1,2,3}: [0, T] \rightarrow \mathbb{R}$ that depend continuously on time such that it holds that $\tilde{\xi}_1(t) < \tilde{\xi}_2(t) < \tilde{\xi}_3(t)$, $v(t, \tilde{\xi}_i(t)) = 0$ for $i = 1, 2, 3$, $v(t, x) > 0$ for all $x \in (\tilde{\xi}_1(t), \tilde{\xi}_2(t))$, $v(t, x) < 0$ for all $x \in (\tilde{\xi}_2(t), \tilde{\xi}_3(t))$, and $v_x(t, \tilde{\xi}_2(t)) \leq 0$ for all $t \in [0, T]$. We note that, by (3.14), it must hold that $\xi_- < \tilde{\xi}_2(0) < \xi_+$.

Take a sequence $\{\tilde{\xi}_{2,n}\}_n$ of smooth functions converging uniformly in $C([0, \tau])$ to $\tilde{\xi}_2$ as $n \rightarrow \infty$. Define the masses

$$\begin{aligned} M_{1,n}(t) &= \int_{-\infty}^{\tilde{\xi}_{2,n}(t)} (v(t, x) - \phi_- + \psi_-) dx \\ &= \int_{-\infty}^{\tilde{\xi}_{2,n}(t)} (\tilde{u}(t, x) - \phi_-) dx - \int_{-\infty}^{\tilde{\xi}_{2,n}(t)} (\psi(x) - \psi_-) dx, \\ M_1(t) &= \int_{-\infty}^{\tilde{\xi}_2(t)} (v(t, x) - \phi_- + \psi_-) dx = \int_{-\infty}^{\tilde{\xi}_2(t)} (\tilde{u}(t, x) - \phi_-) dx - \int_{-\infty}^{\tilde{\xi}_2(t)} (\psi(x) - \psi_-) dx, \end{aligned}$$

which are well-defined because $\tilde{u}(t, \cdot) - \phi_-$ and $\psi - \psi_-$ are L^1 -integrable on \mathbb{R}_- for all $t \geq 0$. Applying the Leibniz rule, we find that

$$\begin{aligned} M'_{1,n}(s) &= \tilde{\xi}'_{2,n}(s) \left(v(s, \tilde{\xi}_{2,n}(s)) - \phi_- + \psi_- \right) \\ &\quad + \int_{-\infty}^{\tilde{\xi}_{2,n}(s)} \left(v_{xx}(s, x) + \partial_x \left(\tilde{f}(v(s, x) + \psi(x)) - \tilde{f}(\psi(x)) \right) \right) dx \\ &= \partial_s \left(\tilde{\xi}_{2,n}(s) \left(v(s, \tilde{\xi}_{2,n}(s)) - \phi_- + \psi_- \right) \right) - \tilde{\xi}_{2,n}(s) \partial_s \left(v(s, \tilde{\xi}_{2,n}(s)) \right) + v_x(s, \tilde{\xi}_{2,n}(s)) \\ &\quad + \tilde{f}(v(s, \tilde{\xi}_{2,n}(s)) + \psi(\tilde{\xi}_{2,n}(s))) - \tilde{f}(\psi(\tilde{\xi}_{2,n}(s))) - \tilde{f}(\phi_-) + \tilde{f}(\psi_-) \end{aligned}$$

for $s \in (0, \tau]$. Integrating the latter from 0 to t , we obtain

$$\begin{aligned} M_{1,n}(t) &= M_{1,n}(0) + \tilde{\xi}_{2,n}(t) \left(v(t, \tilde{\xi}_{2,n}(t)) - \phi_- + \psi_- \right) - \tilde{\xi}_{2,n}(0) \left(v(0, \tilde{\xi}_{2,n}(0)) - \phi_- + \psi_- \right) \\ &\quad + \int_0^t \left(\tilde{\xi}_{2,n}(s) \partial_s \left[v(s, \tilde{\xi}_{2,n}(s)) \right] + v_x(s, \tilde{\xi}_{2,n}(s)) \right) ds + \left(\tilde{f}(\psi_-) - \tilde{f}(\phi_-) \right) t \\ &\quad + \int_0^t \left(\tilde{f}(v(s, \tilde{\xi}_{2,n}(s)) + \psi(\tilde{\xi}_{2,n}(s))) - \tilde{f}(\psi(\tilde{\xi}_{2,n}(s))) \right) ds \end{aligned}$$

for $t \in (0, \tau]$. Taking the limit $n \rightarrow \infty$ while recalling the regularity (3.16) of $v(t, \cdot)$ and the fact that $v_x(\tilde{\xi}_2(s), s) \leq 0$ for all $s \in [0, T]$, we arrive at

$$\begin{aligned} M_1(t) &= M_1(0) - (\tilde{\xi}_2(t) - \tilde{\xi}_2(0))(\phi_- - \psi_-) + \int_0^t v_x(s, \tilde{\xi}_2(s)) ds + \left(\tilde{f}(\psi_-) - \tilde{f}(\phi_-) \right) t \\ &\leq M_1(0) + (\tilde{\xi}_2(t) - \tilde{\xi}_2(0))(\psi_- - \phi_-) + \left(\tilde{f}(\psi_-) - \tilde{f}(\phi_-) \right) t, \end{aligned}$$

implying that

$$\begin{aligned} \int_{-\infty}^{\tilde{\xi}_2(t)} (\tilde{u}(t, x) - \phi_-) dx &\leq \int_{-\infty}^{\tilde{\xi}_2(0)} (u_0(x) - \phi_-) dx + \int_{\tilde{\xi}_2(0)}^{\tilde{\xi}_2(t)} (\psi(x) - \phi_-) dx \\ &\quad + \left(\tilde{f}(\psi_-) - \tilde{f}(\phi_-) \right) t \end{aligned}$$

for $t \in [0, \tau]$. On the other hand, since $\tilde{u}(t, \cdot) - \phi_-$ is nonnegative for all $t \geq 0$ by (2.5), it holds that

$$(3.19) \quad \int_{\tilde{\xi}_1(t)}^{\tilde{\xi}_2(t)} (\psi(x) - \phi_-) dx \leq \int_{-\infty}^{\tilde{\xi}_2(t)} (\tilde{u}(t, x) - \phi_-) dx;$$

cf. Figure 3.1. Combining the latter two inequalities while using $\tilde{\xi}_2(0) < \xi_+$, we obtain

$$\begin{aligned} \int_{\tilde{\xi}_1(t)}^{\tilde{\xi}_2(0)} (\psi(x) - \phi_-) dx &\leq \int_{-\infty}^{\tilde{\xi}_2(0)} (u_0(x) - \phi_-) dx + \left(\tilde{f}(\psi_-) - \tilde{f}(\phi_-) \right) t \\ &\leq \int_{-\infty}^{\xi_+} (u_0(x) - \phi_-) dx + (f(0) - f(\phi_-)) t + (\kappa - f(0)) t + \delta t. \end{aligned}$$

Inserting $t = \tau$ in the latter, applying (3.11), and recalling (3.8), we arrive at

$$\int_{\tilde{\xi}_1(\tau)}^{\tilde{\xi}_2(0)} (\psi(x) - \phi_-) dx \leq (f(0) - f(\phi_-))(\tau - T) + (\kappa - f(0))\tau + \delta\tau < 0,$$

yielding $\tilde{\xi}_2(0) \leq \tilde{\xi}_1(\tau) < \tilde{\xi}_2(\tau)$ since we have $\psi(x) - \phi_- \geq \psi_- - \phi_- > 0$ for all $x \in \mathbb{R}$.

Similarly, we establish

$$\int_{\tilde{\xi}_2(0)}^{\tilde{\xi}_3(t)} (\phi_+ - \psi(x)) dx \leq \int_{\tilde{\xi}_2(0)}^{\infty} (\phi_+ - u_0(x)) dx + \left(\tilde{f}(\psi_+) - \tilde{f}(\phi_+) \right) t,$$

yielding

$$\int_{\tilde{\xi}_2(0)}^{\tilde{\xi}_3(t)} (\phi_+ - \psi(x)) \, dx \leq (f(0) - f(\phi_+))(\tau - T) + (\kappa - f(0))\tau + \delta\tau < 0,$$

and thus, $\tilde{\xi}_2(\tau) < \tilde{\xi}_3(\tau) \leq \tilde{\xi}_2(0)$, which contradicts $\tilde{\xi}_2(0) < \tilde{\xi}_2(\tau)$. Hence, there must exist a $t \in [0, \tau]$ such that $v(t, \cdot)$ has only a single zero. Recalling that the number of zeros is nonincreasing, we conclude that $v(\tau)$ has a single zero, which must be x_0 . Since $v(t, \cdot)$ converges to $\phi_{\pm} - \psi_{\pm}$ as $x \rightarrow \pm\infty$ and we have $\phi_- < \psi_- < 0 < \psi_+ < \phi_+$, it must hold that $\tilde{u}_x(\tau, x_0) - \psi'(x_0) = v_x(\tau, x_0) \geq 0$. On the other hand, using that ψ solves (3.15) and $0 = v(\tau, x_0) = \tilde{u}(\tau, x_0) - \psi(x_0)$ while recalling (3.5) and (3.12), we infer that

$$\begin{aligned} \varepsilon \geq \tilde{u}_x(\tau, x_0) &\geq \psi'(x_0) = -\tilde{f}(\psi(x_0)) + \tilde{f}(\psi_{\pm}) = -\tilde{f}(\tilde{u}(\tau, x_0)) + \kappa \\ &= -\tilde{f}(\tilde{u}(\tau, x_0)) + f(\tilde{u}(\tau, x_0)) - f(\tilde{u}(\tau, x_0)) + f(0) + \kappa - f(0) \\ &\geq -\delta - L\varepsilon + \kappa - f(0). \end{aligned}$$

Combining the latter with (3.11) yields

$$(L + 2)\varepsilon \geq \kappa - f(0),$$

which contradicts (3.10). We conclude that, for each $t > T$, the function $u(t, \cdot)$ possesses at most one zero. ■

Remark 3.3. We note that the proof of Theorem 3.1 provides an explicit upper bound T given by (3.8) on the time at which all interfaces of the solution $u(t, \cdot)$ of (1.1) have collapsed to a single interface. The upper bound (3.8) only depends on the flux function f and the initial condition u_0 .

Remark 3.4. We expect that it might be possible to lift the assumption that $u_0(x) \in [\min\{\phi_-, \phi_+\}, \max\{\phi_-, \phi_+\}]$ for all $x \in \mathbb{R}$ in Theorem 3.1 by bounding $u_0(x)$ from below by a smooth function $u_-(x)$ and from above by a smooth function $u_+(x)$ satisfying

$$\lim_{x \rightarrow \pm\infty} u_-(x) = \min\{\phi_-, \phi_+\} \quad \text{and} \quad \lim_{x \rightarrow \pm\infty} u_+(x) = \max\{\phi_-, \phi_+\}.$$

It has been established in [4] that the solutions $\tilde{u}_{\pm}(t, \cdot)$ of the regularized problem (2.1) with initial conditions $\tilde{u}_{\pm}(0, \cdot) = u_{\pm}$ converge in L^1 - and L^∞ -norm to their asymptotic limits as $t \rightarrow \infty$. So, by the comparison principle, the area of $\tilde{u}(t, \cdot)$ under $\min\{\phi_-, \phi_+\}$ or above $\max\{\phi_-, \phi_+\}$ converges to 0 as $t \rightarrow \infty$. We expect that, using similar techniques as in the proof of Theorem 3.5, one can obtain decay estimates on this area that are independent of the approximation \tilde{f} of the flux function f . One would then hope to find an explicit time $T_1 > 0$ only depending on f and the initial condition u_0 such that, for $t > T_1$, this area is so small that the estimate (3.19) is still valid and one can proceed as in the proof of Theorem 3.1. We decided to refrain from providing this exposition since it merely introduces additional technicalities obscuring the main ideas of the proof.

3.2. Solutions with initial data of class II. We prove the finite-time extinction of all interfaces of solutions with initial data of class II. That is, we consider a solution $u(t, x)$ of (1.1) with initial condition $u(0, x) = u_0(x)$, which converges to nonzero asymptotic limits ϕ_{\pm} as $x \rightarrow \pm\infty$ that have the same sign. By approximating the solution $u(t, x)$ by a solution $\tilde{u}(t, x)$ to the regularized problem (2.1) with smooth flux function \tilde{f} and bounding the initial condition u_0 from below or above, it suffices by the comparison principle of [16, 19] to prove the statement for a solution $\tilde{v}(t, \cdot)$ of the regularized problem (2.1), which possesses the same nonzero asymptotic limit ϕ_0 at $\pm\infty$; see Figure 3.2. We show that all interfaces of $\tilde{v}(t, \cdot)$ go extinct within finite time by deriving an energy inequality for the difference $\tilde{v}(t, \cdot) - \phi_0$. The energy estimate relies on the Gagliardo–Nirenberg inequality and the conservation of mass.

Theorem 3.5. *Let $f: \mathbb{R} \rightarrow \mathbb{R}$ be locally Lipschitz continuous and $u_0 \in C_{\text{ub}}^1(\mathbb{R})$. Suppose that $u_0(x)$ converges to nonzero asymptotic limits ϕ_{\pm} as $x \rightarrow \pm\infty$ such that ϕ_+ and ϕ_- have the same sign. Let $u \in C([0, \infty), C_{\text{ub}}(\mathbb{R}))$ be the global mild solution of (1.1) established in Lemma 2.2. Then, there exists a time $T > 0$ such that, for all $t > T$, the solution $u(t, \cdot)$ possesses no zeros.*

Proof. Throughout the proof, $C > 0$ denotes the constant appearing in the Gagliardo–Nirenberg interpolation inequality

$$(3.20) \quad \|g\|_{\infty} \leq C \|g'\|_2^{\frac{2}{3}} \|g\|_1^{\frac{1}{3}},$$

which holds for all $g \in L^1(\mathbb{R}) \cap H^1(\mathbb{R})$.

We consider the case $0 < \phi_- \leq \phi_+$. The cases $0 < \phi_+ \leq \phi_-$, $\phi_- \leq \phi_+ < 0$, and $\phi_+ \leq \phi_- < 0$ are handled analogously. Take any $v_0 \in C_{\text{ub}}^1(\mathbb{R})$ such that $v_0 - \frac{2}{3}\phi_-$ is L^1 -integrable, not identically zero, and nonpositive, and it holds that $v_0(x) \leq u_0(x)$ for all $x \in \mathbb{R}$. Set

$$(3.21) \quad T = \frac{27C^3 \|v_0 - \frac{2}{3}\phi_- \|_2^2 \|v_0 - \frac{2}{3}\phi_- \|_1}{2\phi_-^3} > 0.$$

Let $\tau > T$. By Lemma 2.2, there exists $\tilde{f} \in C^{\infty}(\mathbb{R})$ such that the global classical solution $\tilde{u}(t, \cdot)$ of the regularized problem (2.1) with initial condition $\tilde{u}(0, \cdot) = u_0$ satisfies (2.3) and

$$(3.22) \quad \|u(\tau, \cdot) - \tilde{u}(\tau, \cdot)\|_{\infty} < \frac{1}{3}\phi_-.$$

Let

$$\tilde{v} \in C([0, \infty), C_{\text{ub}}^1(\mathbb{R})) \cap C((0, \infty), C_{\text{ub}}^2(\mathbb{R})) \cap C^1((0, \infty), C_{\text{ub}}(\mathbb{R}))$$

be the solution of (2.1) with initial condition $\tilde{v}(0, \cdot) = v_0$; cf. Lemma 2.1. By the comparison principle (cf. [16, 19]), it holds that

$$(3.23) \quad \tilde{v}(t, x) \leq \tilde{u}(t, x), \quad \tilde{v}(t, x) \leq \frac{2}{3}\phi_-$$

for all $t \geq 0$ and $x \in \mathbb{R}$. Our aim is to show that we have $\tilde{v}(\tau, x) \geq \frac{1}{3}\phi_-$ for all $x \in \mathbb{R}$, which, together with (3.22) and (3.23), yields the desired result that $u(\tau, \cdot)$ does not possess any zeros; cf. Figure 3.2.

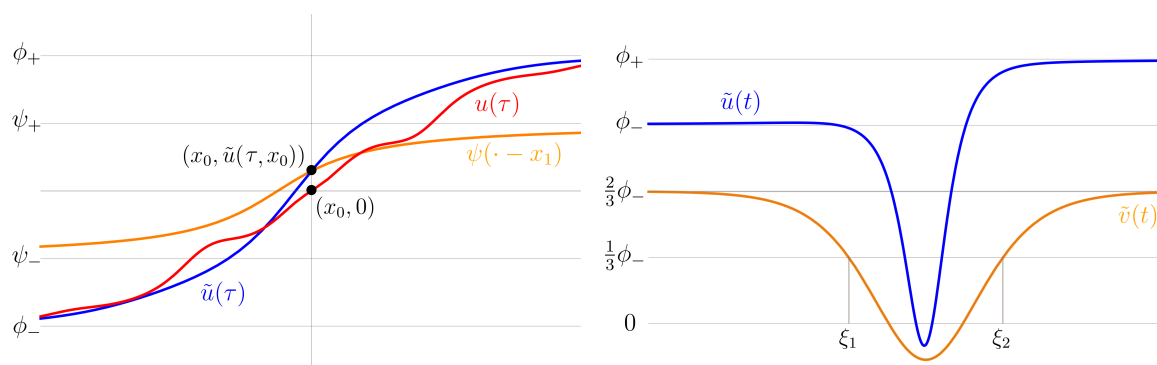


Figure 3.2. *Left: the shock wave $u(t, \cdot)$ and its approximation $\tilde{u}(t, \cdot)$ from the proof of Theorem 3.1 at time $t = \tau$. The shock wave $u(\tau, \cdot)$ possesses the asymptotic limits ϕ_{\pm} at $\pm\infty$ and has an interface at x_0 . The translate $\psi(\cdot - x_1)$ of the traveling shock wave ψ , connecting the asymptotic states ψ_{\pm} , passes through the point $(x_0, \tilde{u}(\tau, x_0))$. Right: the approximate solution $\tilde{u}(t, \cdot)$ connecting the asymptotic end states ϕ_{\pm} and its subsolution $\tilde{v}(t, \cdot)$ possessing the asymptotic limit $\frac{2}{3}\phi_-$ at $\pm\infty$. In the proof of Theorem 3.5, we approximate the energy of $\tilde{v}(t, \cdot) - \frac{2}{3}\phi_-$ at a point $t = \tau$ from below by $(\xi_2 - \xi_1)\frac{1}{9}\phi_-^2$.*

We argue by contradiction and assume that there exist $\xi_1, \xi_2 \in \mathbb{R}$ with $\xi_1 < \xi_2$ such that $\tilde{v}(\tau, \xi_1) = \frac{1}{3}\phi_- = \tilde{v}(\tau, \xi_2)$. First, we observe that (τ, ξ_1) is a root of $z(t, x) = \tilde{v}(t, x) - \frac{1}{3}\phi_-$, which satisfies the linear equation

$$(3.24) \quad z_t = z_{xx} + b(t, x)z_x,$$

where the spatial and temporal derivative of $b(t, x) = \tilde{f}'(\tilde{v}(t, x))$ are bounded on the strip $\mathbb{R} \times [0, s]$ for any $s > 0$. Applying the Sturm theorem [1, Theorem B] to (3.24) yields that $z(t, \cdot)$ must have a zero for all $t \in [0, \tau]$. That is, it holds that

$$(3.25) \quad \left\| \tilde{v}(t, \cdot) - \frac{2}{3}\phi_- \right\|_{\infty} \geq \frac{1}{3}\phi_-$$

for all $t \in [0, \tau]$.

Next, we observe that the mass

$$M(t) = \int_{\mathbb{R}} \left(\tilde{v}(t, x) - \frac{2}{3}\phi_- \right) dx, \quad t \geq 0$$

is conserved. Indeed, it holds that

$$M'(t) = \int_{\mathbb{R}} \left(\tilde{v}_{xx}(t, x) + \partial_x(\tilde{f}(\tilde{v}(t, x))) \right) dx = 0,$$

and thus, we have $M(t) = M(0)$ for all $t \geq 0$. Second, we establish an estimate for the energy

$$E(t) = \left\| \tilde{v}(t, \cdot) - \frac{2}{3}\phi_- \right\|_2^2.$$

We compute using integration by parts

$$\begin{aligned} E'(t) &= 2 \int_{\mathbb{R}} \left(\tilde{v}(t, x) - \frac{2}{3}\phi_- \right) \left(\tilde{v}_{xx}(t, x) + \partial_x(\tilde{f}(\tilde{v}(t, x))) \right) dx \\ &= -2 \int_{\mathbb{R}} \tilde{v}_x(t, x) \left(\tilde{v}_x(t, x) + \tilde{f}'(\tilde{v}(t, x)) \right) dx \\ &= -2 \|\tilde{v}_x(t, \cdot)\|_2^2 \end{aligned}$$

for $t \geq 0$. Therefore, using the Gagliardo–Nirenberg inequality (3.20), the bound (3.23), and the fact that the (nonzero) mass $M(t)$ is conserved, we obtain the energy estimate

$$E'(t) \leq -\frac{2}{C^3|M(t)|} \left\| \tilde{v}(t, \cdot) - \frac{2}{3}\phi_- \right\|_{\infty}^3 = -\frac{2}{C^3|M(0)|} \left\| \tilde{v}(t, \cdot) - \frac{2}{3}\phi_- \right\|_{\infty}^3$$

for $t \geq 0$. Integrating the latter from 0 to τ while using (3.25) and $\tau > T$, we obtain

$$\begin{aligned} (\xi_2 - \xi_1) \frac{\phi_-^2}{9} &\leq E(\tau) \leq E(0) - \frac{2}{C^3|M(0)|} \int_0^{\tau} \left\| \tilde{v}(t, \cdot) - \frac{2}{3}\phi_- \right\|_{\infty}^3 dt \\ &\leq E(0) - \frac{2\phi_-^3}{27C^3|M(0)|} \tau = E(0) \left(1 - \frac{\tau}{T} \right) < 0, \end{aligned}$$

which contradicts $\xi_1 < \xi_2$; see Figure 3.2. Therefore, $\tilde{v}(\tau, \cdot) - \frac{1}{3}\phi_-$ can possess at most one single zero, which, together with estimates (3.22) and (3.23) and the fact that $\tilde{v}(\tau, x)$ converges to $\frac{2}{3}\phi_-$ as $x \rightarrow \pm\infty$, implies that $u(\tau, \cdot)$ cannot have any zeros. ■

Remark 3.6. Assume that the initial condition u_0 in Theorem 3.5 possesses an interface and that it holds $0 < \phi_- \leq \phi_+$. By mollifying the compactly supported, nonpositive, nonzero function $u_1(x) = \min\{\frac{2}{3}\phi_-, u_0(x)\} - \frac{2}{3}\phi_-$, one readily finds a sequence $\{z_n\}_n$ of nonpositive, nonzero, smooth, and compactly supported functions such that z_n converges in $L^p(\mathbb{R})$ to u_1 as $n \rightarrow \infty$ for $p = 1, 2$. Thus, $w_n = z_n + \frac{2}{3}\phi_-$ is a smooth function such that $w_n - \frac{2}{3}\phi_-$ is L^1 -integrable, not identically zero, and nonpositive such that $w_n(x) \leq u_0(x)$ for all $n \in \mathbb{N}$. Hence, w_n satisfies the criteria for the function v_0 in the proof of Theorem 3.5 for any $n \in \mathbb{N}$. That is, we find that the upper bound (3.21) on the time at which all interfaces of the solution $u(t, \cdot)$ have gone extinct could be taken equal to

$$T = \frac{27C^3 \|u_1\|_2^2 \|u_1\|_1}{2\phi_-^3}.$$

We stress that T only depends on the initial condition u_0 of the solution $u(t, \cdot)$ and the positive constant C from the Gagliardo–Nirenberg inequality (3.20).

3.3. Solutions with initial data of class III. In Theorem 3.1, we proved finite-time coalescence of interfaces for shock waves converging to asymptotic limits of opposite signs. This prompts the question of whether antishock waves converging to asymptotic limits of opposite signs also exhibit finite-time coalescence of interfaces. One readily observes that the proof of Theorem 3.1 strongly relies on the Gel'fand–Oleinik entropy inequality (1.2) to bound the mass. It cannot be expected that the same strategy applies to the case of antishock waves

that violate (1.2). Therefore, the question of whether finite-time coalescence of interfaces can be established for solutions with initial data of class III remains open.

Nevertheless, we can study the interface dynamics of solutions with initial data of class III in the framework of the modular Burgers equation

$$(3.26) \quad u_t = u_{xx} + |u|_x,$$

which corresponds to the scalar viscous conservation law (1.1) with the modular flux function $f(u) = |u|$. Our upcoming analysis establishes finite-time coalescence of interfaces for antishock waves converging to asymptotic limits $\mp\phi_*$ as $x \rightarrow \pm\infty$ with $\phi_* > 0$. We make the following assumption on the regularity of solutions to the modular Burgers equation (3.26).

Assumption 3.7. For every $u_0 \in C^1_{\text{ub}}(\mathbb{R})$ converging to nonzero asymptotic limits ϕ_{\pm} at $\pm\infty$, the global mild solution $u \in C([0, \infty), C_{\text{ub}}(\mathbb{R}))$ of (3.26), established in Lemma 2.2, with initial condition $u(0, \cdot) = u_0$ satisfies $u \in C^1((0, \infty) \times \mathbb{R}, \mathbb{R})$ such that $u(t, \cdot)$, $t \geq 0$ is piecewise C^2 with the finite jump condition

$$(3.27) \quad u_{xx}(t, \xi(t)^+) - u_{xx}(t, \xi(t)^-) = -2|u_x(t, \xi(t))|$$

across any interface $x = \xi(t) \in \mathbb{R}$.

Assumption 3.7 was proven in [10] for the class of solutions to (3.26) with a single interface in a local neighborhood of a traveling shock wave. In a more general setting, the validity of Assumption 3.7 is an open question.

We expect that Assumption 3.7 can be proven in a general case by using approximation by solutions of the regularized equation as in Theorems 3.1 and 3.5. However, since our main goal is to illustrate the finite-time coalescence of interfaces of solutions of (1.1) with initial data of class III rather than proving a general well-posedness result for piecewise smooth flux functions, we refrain from doing so.

The following lemma establishes that the odd parity of initial data is preserved in the time evolution of the modular Burgers equation (3.26).

Lemma 3.8. Let $u_0 \in C^1_{\text{ub}}(\mathbb{R})$ satisfy $u_0(-x) = -u_0(x)$ for every $x \in \mathbb{R}$. Then, the mild solution $u \in C([0, \infty), C_{\text{ub}}(\mathbb{R}))$ of (3.26), established in Lemma 2.2, satisfies $u(t, -x) = -u(t, x)$ for every $t \geq 0$ and $x \in \mathbb{R}$.

Proof. First, observe that, if $z \in C_{\text{ub}}(\mathbb{R})$ is odd, then

$$e^{\partial_x^2 t} z = \int_{\mathbb{R}} \frac{e^{-\frac{y^2}{4t}}}{\sqrt{4\pi t}} z(x - y) dy$$

is also odd, which follows by the substitution $y \mapsto -y$. Now, the mild solution $u(t, \cdot)$ of (3.26) is given by

$$u(t, \cdot) = e^{\partial_x^2 t} u_0 + \partial_x \int_0^t e^{\partial_x^2(t-s)} |u(s, \cdot)| ds$$

for $t \geq 0$. Since u_0 is odd, so is $e^{\partial_x^2 t} u_0$. Hence, using again the substitution $y \mapsto -y$, we obtain

$$u(t, \cdot) + u(t, -\cdot) = \partial_x \int_0^t e^{\partial_x^2(t-s)} (|u(s, \cdot)| - |u(s, -\cdot)|) ds$$

for $t \geq 0$. Taking norms in the latter and using the well-known fact that there exists a constant $C > 0$ such that

$$(3.28) \quad \left\| \partial_x e^{\partial_x^2 t} v \right\|_{L^\infty} \leq C t^{-1/2} \|v\|_{L^\infty}$$

for $t > 0$ and $v \in L^\infty(\mathbb{R})$ yields

$$\begin{aligned} \|u(t, \cdot) + u(t, -\cdot)\|_{L^\infty} &\leq C \int_0^t \frac{1}{\sqrt{t-s}} \| |u(s, \cdot)| - |u(s, -\cdot)| \|_{L^\infty} ds \\ &\leq C \int_0^t \frac{1}{\sqrt{t-s}} \|u(s, \cdot) + u(s, -\cdot)\|_{L^\infty} ds \end{aligned}$$

for $t \geq 0$. Therefore, Grönwall's inequality (cf. [11, Lemma 7.0.3]) implies that

$$\|u(t, \cdot) + u(t, -\cdot)\|_{L^\infty} = 0$$

for all $t \geq 0$, which finishes the proof. ■

The main result of this section is the following theorem.

Theorem 3.9. *Suppose that Assumption 3.7 holds. Take $\phi_* > 0$ and $x_{0,1} \in \mathbb{R}$ with $0 < x_1 - x_0 < \frac{1}{6}$. Let $\phi: \mathbb{R} \rightarrow \mathbb{R}$ be the odd function given by*

$$\phi(x) = \phi_*(e^{-x} - 1)$$

for $x \geq 0$. Consider $u_0 \in C_{\text{ub}}^1(\mathbb{R})$ satisfying

$$(3.29) \quad \phi(x - x_0) \leq u_0(x) \leq \phi(x - x_1)$$

for all $x \in \mathbb{R}$. Let $u \in C([0, \infty), C_{\text{ub}}(\mathbb{R}))$ be the mild solution of (3.26) established in Lemma 2.2. Then, $u(t, \cdot)$ cannot possess two consecutive simple zeros $\xi_1(t), \xi_2(t)$ that exist for all $t \geq 0$.

Proof. Our analysis relies on comparison with an explicit reference solution $u_{\text{ref}}(t, x)$ of (3.26) with odd initial condition $u_{\text{ref}}(0, \cdot) = \phi \in C_{\text{ub}}^1(\mathbb{R})$. By Lemma 3.8, the solution $u_{\text{ref}} \in C([0, \infty), C_{\text{ub}}(\mathbb{R}))$ is spatially odd. It satisfies the diffusion-advection boundary-value problem

$$\begin{cases} u_t = u_{xx} - u_x, & t > 0, \quad x > 0, \\ u(t, 0) = 0, & t \geq 0, \\ u(0, x) = \phi(x), & x \geq 0, \end{cases}$$

whose solution is explicitly given by

$$u_{\text{ref}}(t, x) = \int_0^\infty G(t, x, y) \phi(y) dy$$

for $t \geq 0$ and $x \in \mathbb{R}$, where $G(t, x, y)$ is the Green's function used in [10]:

$$G(t, x, y) = \frac{1}{\sqrt{4\pi t}} \left(e^{-\frac{(x-y-t)^2}{4t}} - e^{-y} e^{-\frac{(x+y-t)^2}{4t}} \right).$$

Evaluating the integral, we find

$$u_{\text{ref}}(t, x) = \frac{\phi_*}{2} \left(e^x \operatorname{erfc} \left(\frac{t+x}{2\sqrt{t}} \right) - \operatorname{erfc} \left(\frac{t-x}{2\sqrt{t}} \right) - e^{2t-x} \left(\operatorname{erfc} \left(\frac{x-3t}{2\sqrt{t}} \right) + e^{3x} \operatorname{erfc} \left(\frac{3t+x}{2\sqrt{t}} \right) - 2 \right) \right)$$

for $t \geq 0$ and $x \in \mathbb{R}$.

By the comparison principle (cf. [3, Corollary 3.1]) and (3.29), it holds that

$$u_-(t, x) \leq u(t, x) \leq u_+(t, x)$$

for $x \in \mathbb{R}$ and $t \geq 0$, where $u_-(t, x) = u_{\text{ref}}(t, x - x_0)$ and $u_+(t, x) = u_{\text{ref}}(t, x - x_1)$ are translates of the reference solution $u_{\text{ref}}(t, x)$ of (3.26); see Figure 3.3. Note that $u_-(t, \cdot)$ and $u_+(t, \cdot)$ possess an odd symmetry with respect to the points $x = x_0$ and $x = x_1$, respectively. In particular, it holds that $u_-(t, x_0) = 0 = u_+(t, x_1)$.

We argue by contradiction and assume that $u(t, x)$ possesses zeros $\xi_1(t), \xi_2(t), \xi_3(t)$ for all $t \geq 0$ such that $\xi_1(t) < \xi_2(t) < \xi_3(t)$, $u(t, x) < 0$ for $x \in (\xi_1(t), \xi_2(t))$, $u(t, x) > 0$ for all $x \in (\xi_2(t), \xi_3(t))$, and $u_x(t, \xi_2(t)) > 0$ for all $t \geq 0$. Since $u_{\pm}(t, \cdot)$ are monotone, it holds $\xi_i(t) \in (x_0, x_1)$ for all $t \geq 0$ and $i = 1, 2, 3$; see Figure 3.3. By translational invariance, we may assume, without loss of generality, that $x_0 = 0$.

As in the proof of Theorem 3.1, we derive differential inequalities for the masses

$$M_1(t) = \int_{-\infty}^{\xi_2(t)} (\phi_* - u(t, x)) dx, \quad M_2(t) = \int_{\xi_2(t)}^{\infty} (u(t, x) + \phi_*) dx.$$

However, in contrast to the proof of Theorem 3.1, we cannot employ the Gel'fand–Oleinik entropy inequality to bound $M_1(t)$ and $M_2(t)$. Instead, we use explicit expressions of the reference solutions $u_{\pm}(t, \cdot)$ to bound $M_1(0)$ and $M_2(0)$ from above and $M_1(t)$ and $M_2(t)$ from below.

Recalling $u_x(\xi_2(t), 0) > 0$, the implicit function theorem implies that $\xi_2(t)$ is differentiable with respect to t . We apply Leibniz's rule to compute

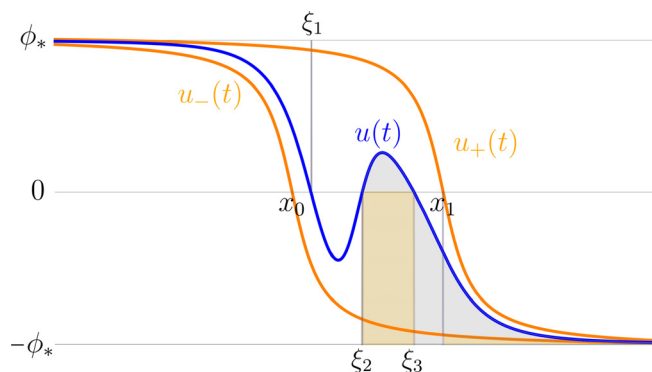


Figure 3.3. The antishock wave $u(t, \cdot)$ connecting the asymptotic end states $\mp\phi_*$ at $\pm\infty$, the odd subsolution $u_-(t, \cdot)$ with zero x_0 , the odd supersolution $u_+(t, \cdot)$ with zero x_1 , and the interfaces $\xi_1(t)$, $\xi_2(t)$, and $\xi_3(t)$ of $u(t, \cdot)$ (we suppressed the t -dependency of the interfaces). We bound the shaded area below the graph of $u(t, \cdot)$ from below by the orange subareas.

$$\begin{aligned}
M_2'(t) &= -\xi_2'(t)\phi_* + \int_{\xi_2(t)}^{\infty} (u_{xx}(t,x) + |u|_x(t,x))dx \\
&= -\xi_2'(t)\phi_* + \phi_* - u_x(t, \xi_2(t)) \\
&< \phi_* (1 - \xi_2'(t)).
\end{aligned}$$

Integrating this inequality, we arrive at

$$M_2(t) \leq M_2(0) + \phi_* t - (\xi_2(t) - \xi_2(0)) \phi_*.$$

On the other hand, since $u(t, \cdot) - \phi_*$ and $u(t, \cdot) - u_-(t, \cdot)$ are nonnegative by the comparison principle, it holds that

$$(\xi_3(t) - \xi_2(t)) \phi_* + \int_{x_1}^{\infty} (u_-(t,x) + \phi_*) dx \leq M_2(t);$$

see also Figure 3.3. Finally, since $u_+(0, \cdot) - u(0, \cdot)$ is nonnegative, we arrive at

$$M_2(0) \leq 2x_1\phi_* + \int_{x_1}^{\infty} (u_+(0,x) + \phi_*)dx = 2x_1\phi_* + \int_0^{\infty} (\phi(x) + \phi_*) dx = \phi_*(2x_1 + 1).$$

We compute

$$\begin{aligned}
F(t) &:= \int_{x_1}^{\infty} \left(\frac{u_-(t,x)}{\phi_*} + 1 \right) dx - t \\
&= \frac{1}{4} \left(-(2t+3)\operatorname{erf}\left(\frac{x_1-t}{2\sqrt{t}}\right) + 2x_1\operatorname{erfc}\left(\frac{t-x_1}{2\sqrt{t}}\right) - 2e^{2t-x_1}\operatorname{erfc}\left(\frac{x_1-3t}{2\sqrt{t}}\right) \right. \\
&\quad \left. - 2e^{x_1}\operatorname{erfc}\left(\frac{x_1+t}{2\sqrt{t}}\right) + e^{2(x_1+t)}\operatorname{erfc}\left(\frac{x_1+3t}{2\sqrt{t}}\right) + 4e^{2t-x_1} \right. \\
&\quad \left. + \frac{4\sqrt{t}}{\sqrt{\pi}} e^{-\frac{(x_1-t)^2}{4t}} - 4x_1 - 2t + 3 \right)
\end{aligned}$$

and obtain

$$\lim_{t \rightarrow \infty} F(t) = \frac{3}{2} - x_1.$$

All in all, we have established that

$$\phi_* (\xi_3(t) - \xi_2(t) + F(t) + t) \leq M_2(t) \leq \phi_* (2x_1 + 1 + t - (\xi_2(t) - \xi_2(0))),$$

yielding

$$\xi_3(t) - \xi_2(0) \leq 2x_1 + 1 - F(t) \rightarrow 3x_1 - \frac{1}{2} \quad \text{as } t \rightarrow \infty.$$

Consequently, as $x_1 < \frac{1}{6}$, there exists $t_2 > 0$ such that $\xi_2(t) < \xi_3(t) \leq \xi_2(0)$ for all $t \geq t_2$.

Similarly, by bounding the integral $M_1(t)$, one finds $t_1 > 0$ such that $\xi_2(0) \leq \xi_1(t) < \xi_2(t)$ for all $t \geq t_1$, which contradicts the fact that $\xi_2(t) < \xi_2(0)$ for all $t \geq t_2$. Hence, the interfaces $\xi_1(t)$, $\xi_2(t)$, and $\xi_3(t)$ must coalesce within finite time. \blacksquare

4. Dynamics near a coalescence event for smooth flux functions. Let us consider the initial-value problem for the viscous conservation law:

$$(4.1) \quad \begin{cases} u_t = u_{xx} + f'(u)u_x, & t > 0, \quad x \in \mathbb{R}, \\ u(0, x) = u_0(x), & x \in \mathbb{R}, \end{cases}$$

where $f \in C^\infty(\mathbb{R})$ satisfies $f'(0) = 0$. We assume that the initial condition $u_0 \in C^\infty(\mathbb{R})$ is bounded and has bounded derivatives.

From the well-posedness of the viscous conservation law in the class of smooth data (cf. Lemma 2.1), we know that there exists a smooth solution $u \in C^\infty((0, \infty) \times \mathbb{R}, \mathbb{R})$ to the initial-value problem (4.1). A zero $x = \xi(t)$ of $u(t, \cdot)$ on \mathbb{R} is a C^1 -function of t as long as $u_x(t, \xi(t)) \neq 0$ by the implicit function theorem.

Here, we classify the first two bifurcations, for which the function $t \rightarrow \xi(t)$ exists for t in some interval $[0, t_0]$ with $t_0 > 0$ such that $u(t, \xi(t)) = 0$ for $t \in [0, t_0]$ and $u_x(t, \xi(t)) \neq 0$ for $t \in [0, t_0)$ but may fail to exist for $t > t_0$ because we have $u_x(t_0, \xi_0) = 0$ at $\xi_0 = \xi(t_0)$.

4.1. Fold bifurcation. The main result is given by the following proposition.

Proposition 4.1. *Assume that there exists $(t_0, \xi_0) \in (0, \infty) \times \mathbb{R}$ such that*

$$u_x(t_0, \xi_0) = 0 \quad \text{and} \quad u_{xx}(t_0, \xi_0) \neq 0.$$

Then, there exist two roots of $u(t, \cdot)$ near ξ_0 for $t < t_0$ near t_0 , denoted by $\xi_{1,2}(t)$, such that

$$(4.2) \quad \xi_{1,2}(t) - \xi_0 = \pm \sqrt{2(t_0 - t)} + \mathcal{O}(t_0 - t) \quad \text{as} \quad t \rightarrow t_0^-$$

and

$$(4.3) \quad u_x(t, \xi_{1,2}(t)) = \pm \sqrt{2(t_0 - t)}u_{xx}(t_0, \xi_0) + \mathcal{O}(t_0 - t) \quad \text{as} \quad t \rightarrow t_0^-.$$

No roots of $u(t, \cdot)$ near ξ_0 exist for $t > t_0$ near t_0 .

Proof. By using the equation of motion in (4.1), we have

$$u_t(t_0, \xi_0) = u_{xx}(t_0, \xi_0) \neq 0.$$

Moreover, using Taylor expansions for smooth solutions, we obtain, for any root $\xi(t)$ of $u(t, \cdot)$ near ξ_0 , the following:

$$\begin{aligned} 0 &= u(t, \xi(t)) \\ &= \underbrace{u(t_0, \xi_0)}_{=0} + (t - t_0) \underbrace{u_t(t_0, \xi_0)}_{\neq 0} + (\xi(t) - \xi_0) \underbrace{u_x(t_0, \xi_0)}_{=0} \\ &\quad + \frac{1}{2}(t - t_0)^2 u_{tt}(t_0, \xi_0) + (t - t_0)(\xi(t) - \xi_0) u_{tx}(t_0, \xi_0) + \frac{1}{2}(\xi(t) - \xi_0)^2 \underbrace{u_{xx}(t_0, \xi_0)}_{\neq 0} + \mathcal{O}(3). \end{aligned}$$

It follows from the Newton's polygon in Figure 4.1 (left) that this expansion defines two roots for $\xi(t)$, denoted by $\xi_{1,2}(t)$, which are given by the expansion

$$\begin{aligned} \xi_{1,2}(t) - \xi_0 &= \pm \sqrt{\frac{2u_t(t_0, \xi_0)}{u_{xx}(t_0, \xi_0)}}(t_0 - t) + \mathcal{O}(t_0 - t) \\ &= \pm \sqrt{2(t_0 - t)} + \mathcal{O}(t_0 - t), \end{aligned}$$

which exist for $t < t_0$ near t_0 , coalesce at $t = t_0$, and disappear for $t > t_0$. We also obtain

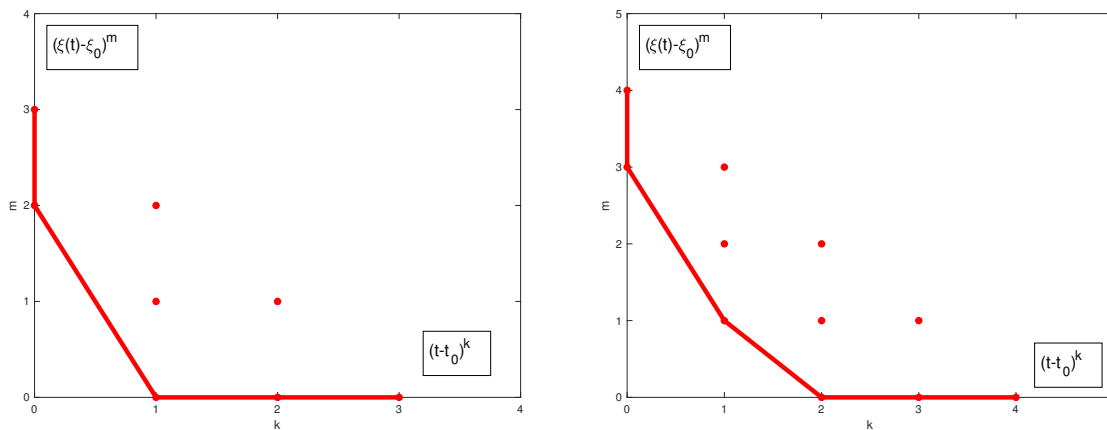


Figure 4.1. Newton's polygons used in the proofs of Proposition 4.1 (left) and Proposition 4.2 (right).

$$\begin{aligned} u_x(t, \xi_{1,2}(t)) &= \underbrace{u_x(t_0, \xi_0)}_{=0} + (t - t_0)u_{tx}(t_0, \xi_0) + (\xi_{1,2}(t) - \xi_0) \underbrace{u_{xx}(t_0, \xi_0)}_{\neq 0} + \mathcal{O}(2) \\ &= \pm \sqrt{2(t_0 - t)}u_{xx}(t_0, \xi_0) + \mathcal{O}(t_0 - t). \end{aligned}$$

Both expansions prove the validity of (4.2) and (4.3). ■

4.2. Pitchfork bifurcation. The main result is given by the following proposition.

Proposition 4.2. *Assume that there exists $(t_0, \xi_0) \in (0, \infty) \times \mathbb{R}$ such that*

$$u_x(t_0, \xi_0) = 0, \quad u_{xx}(t_0, \xi_0) = 0, \quad \text{and} \quad u_{xxx}(t_0, \xi_0) \neq 0.$$

Then, there exist three roots of $u(t, \cdot)$ near ξ_0 for $t < t_0$ near t_0 and one root near ξ_0 for $t > t_0$ near t_0 . Two roots, denoted by $\xi_{1,2}(t)$, are not continued for $t > t_0$ and satisfy

$$(4.4) \quad \xi_{1,2}(t) - \xi_0 = \pm \sqrt{6(t_0 - t)} + \mathcal{O}(t_0 - t) \quad \text{as} \quad t \rightarrow t_0^-,$$

whereas the third root, denoted by $\xi(t)$, is continued for $t > t_0$ and satisfies

$$(4.5) \quad \xi(t) - \xi_0 = \frac{u_{tt}(t_0, \xi_0)}{2u_{xxx}(t_0, \xi_0)}(t_0 - t) + \mathcal{O}((t_0 - t)^2) \quad \text{as} \quad t \rightarrow t_0.$$

We also have

$$(4.6) \quad u_x(t, \xi_{1,2}(t)) = 2u_{xxx}(t_0, \xi_0)(t_0 - t) + \mathcal{O}((t_0 - t)^{3/2}) \quad \text{as} \quad t \rightarrow t_0^-$$

and

$$(4.7) \quad u_x(t, \xi(t)) = u_{xxx}(t_0, \xi_0)(t - t_0) + \mathcal{O}((t_0 - t)^2) \quad \text{as} \quad t \rightarrow t_0.$$

Remark 4.3. The scaling laws (4.4) and (4.6) were conjectured in [15] based on numerical simulations of spatially odd solutions of the modular Burgers equation. Proposition 4.2

shows that this behavior holds for every scalar viscous conservation law (1.1) with smooth nonlinearity and smooth initial data.

Remark 4.4. The asymptotic expansions (4.4) and (4.6) imply that

$$(4.8) \quad u_{xx}(t, \xi_{1,2}(t)) = \pm u_{xxx}(t_0, \xi_0) \sqrt{6(t_0 - t)} + \mathcal{O}(t_0 - t) \quad \text{as } t \rightarrow t_0^-,$$

which was also conjectured in [15]. Indeed, if we differentiate $u(t, \xi(t)) = 0$ with the chain rule for the smooth solutions $u \in C^\infty((0, \infty) \times \mathbb{R}, \mathbb{R})$ for $t \in (0, t_0)$ while assuming that $u_x(t, \xi(t)) \neq 0$, for any root $\xi(t)$ of $u(t, \cdot)$, then we obtain from (4.1) with $f'(0) = 0$,

$$u_t(t, \xi(t)) + \xi'(t)u_x(t, \xi(t)) = 0 \quad \Rightarrow \quad u_{xx}(t, \xi(t)) = -\xi'(t)u_x(t, \xi(t))$$

for $t \in (0, t_0)$. Hence, (4.4) and (4.6) imply (4.8). Similarly, we can derive from (4.5) and (4.7) that

$$(4.9) \quad u_{xx}(t, \xi(t)) = \frac{1}{2}u_{tt}(t_0, \xi_0)(t - t_0) + \mathcal{O}((t_0 - t)^2) \quad \text{as } t \rightarrow t_0$$

for the third root $\xi(t)$, which exists for all t near t_0 .

Remark 4.5. It follows from (4.4) and (4.5) that the three interfaces satisfy the natural ordering for the pitchfork bifurcation

$$\xi_1(t) < \xi(t) < \xi_2(t)$$

for $t < t_0$ near t_0 . It follows from (4.6) and (4.7) that the sign of the first partial derivative of $u(t, x)$ in x at $x = \xi(t)$ is opposite to the one at $x = \xi_{1,2}(t)$ for $t < t_0$ near t_0 .

Remark 4.6. If $u_0(-x) = -u_0(x)$ and $f'(-z) = -f'(z)$ for $z \in \mathbb{R}$ in (4.1), then $u(t, -x) = -u(t, x)$ for every $t > 0$ and $x \in \mathbb{R}$. In this case of odd symmetry, if the assumptions of Proposition 4.2 are satisfied and $\xi_0 = 0$, then $\xi(t) = 0$ for all $t \geq 0$. Consequently, we have

$$u(t, 0) = u_{xx}(t, 0) = 0$$

for all $t \geq 0$.

Proof of Proposition 4.2. By using the equation of motion in (4.1), we have

$$u_t(t_0, \xi_0) = 0 \quad \text{and} \quad u_{tx}(t_0, \xi_0) = u_{xxx}(t_0, \xi_0) \neq 0.$$

Moreover, using Taylor expansions for smooth solutions, we obtain, for any root $\xi(t)$ of $u(t, \cdot)$ near ξ_0 ,

$$\begin{aligned} 0 &= u(t, \xi(t)) \\ &= \underbrace{u(t_0, \xi_0)}_{=0} + (t - t_0) \underbrace{u_t(t_0, \xi_0)}_{=0} + (\xi(t) - \xi_0) \underbrace{u_x(t_0, \xi_0)}_{=0} \\ &\quad + \frac{1}{2}(t - t_0)^2 u_{tt}(t_0, \xi_0) + (t - t_0)(\xi(t) - \xi_0) \underbrace{u_{tx}(t_0, \xi_0)}_{\neq 0} + \frac{1}{2}(\xi(t) - \xi_0)^2 \underbrace{u_{xx}(t_0, \xi_0)}_{=0} \\ &\quad + \frac{1}{6}(t - t_0)^3 u_{ttt}(t_0, \xi_0) + \frac{1}{2}(t - t_0)^2 (\xi(t) - \xi_0) u_{ttx}(t_0, \xi_0) \\ &\quad + \frac{1}{2}(t - t_0)(\xi(t) - \xi_0)^2 u_{txx}(t_0, \xi_0) + \frac{1}{6}(\xi(t) - \xi_0)^3 \underbrace{u_{xxx}(t_0, \xi_0)}_{\neq 0} + \mathcal{O}(4). \end{aligned}$$

It follows from the Newton's polygon in Figure 4.1 (right) that this expansion defines two sets of roots. One set appears at the balance of $(t - t_0)(\xi(t) - \xi_0)$ and $(\xi(t) - \xi_0)^3$ terms, and the other set appears at the balance between $(t - t_0)^2$ and $(t - t_0)(\xi(t) - \xi_0)$ terms.

The former set is represented by two roots denoted as $\xi_{1,2}(t)$, which satisfy the expansion

$$\begin{aligned}\xi_{1,2}(t) - \xi_0 &= \pm \sqrt{\frac{6u_{tx}(t_0, \xi_0)}{u_{xxx}(t_0, \xi_0)}(t_0 - t) + \mathcal{O}(t_0 - t)} \\ &= \pm \sqrt{6(t_0 - t) + \mathcal{O}(t_0 - t)}.\end{aligned}$$

The two roots exist for $t < t_0$ near t_0 , coalesce at $t = t_0$, and disappear for $t > t_0$. We also obtain

$$\begin{aligned}u_x(t, \xi_{1,2}(t)) &= \underbrace{u_x(t_0, \xi_0)}_{=0} + (t - t_0) \underbrace{u_{tx}(t_0, \xi_0)}_{\neq 0} + (\xi_{1,2}(t) - \xi_0) \underbrace{u_{xx}(t_0, \xi_0)}_{=0} \\ &\quad + \frac{1}{2}(t - t_0)^2 u_{ttx}(t_0, \xi_0) + (t - t_0)(\xi_{1,2}(t) - \xi_0) u_{txx}(t_0, \xi_0) \\ &\quad + \frac{1}{2}(\xi_{1,2}(t) - \xi_0)^2 \underbrace{u_{xxx}(t_0, \xi_0)}_{\neq 0} + \mathcal{O}(3),\end{aligned}$$

which implies that

$$u_x(t, \xi_{1,2}(t)) = 2u_{xxx}(t_0, \xi_0)(t_0 - t) + \mathcal{O}((t_0 - t)^{3/2}) \quad \text{as } t \rightarrow t_0^-.$$

These expansions prove the validity of (4.4) and (4.6).

The latter set is represented by one root denoted by $\xi(t)$, which satisfies the expansion

$$\begin{aligned}\xi(t) - \xi_0 &= \frac{u_{tt}(t_0, \xi_0)}{2u_{tx}(t_0, \xi_0)}(t_0 - t) + \mathcal{O}((t_0 - t)^2) \\ &= \frac{u_{tt}(t_0, \xi_0)}{2u_{xxx}(t_0, \xi_0)}(t_0 - t) + \mathcal{O}((t_0 - t)^2).\end{aligned}$$

The root $\xi(t)$ persists for all t near t_0 . We also obtain

$$\begin{aligned}u_x(t, \xi(t)) &= \underbrace{u_x(t_0, \xi_0)}_{=0} + (t - t_0) \underbrace{u_{tx}(t_0, \xi_0)}_{\neq 0} + (\xi(t) - \xi_0) \underbrace{u_{xx}(t_0, \xi_0)}_{=0} \\ &\quad + \frac{1}{2}(t - t_0)^2 u_{ttx}(t_0, \xi_0) + (t - t_0)(\xi(t) - \xi_0) u_{txx}(t_0, \xi_0) \\ &\quad + \frac{1}{2}(\xi(t) - \xi_0)^2 \underbrace{u_{xxx}(t_0, \xi_0)}_{\neq 0} + \mathcal{O}(3),\end{aligned}$$

which implies that

$$u_x(t, \xi(t)) = u_{xxx}(t_0, \xi_0)(t - t_0) + \mathcal{O}((t_0 - t)^2) \quad \text{as } t \rightarrow t_0.$$

These expansions prove the validity of (4.5) and (4.6). ■

4.3. Bifurcations of higher order. By continuing the analysis from the previous two subsections, one can characterize coalescence of roots of $u(t, \cdot)$ in the nongeneric case when there exists an integer $m \geq 4$ and $(t_0, \xi_0) \in (0, \infty) \times \mathbb{R}$ such that all partial derivatives of $u(t, x)$ in x at (t_0, ξ_0) are zero up to the m th order and the m th partial derivative of $u(t, x)$ in x at (t_0, ξ_0) is nonzero.

4.4. Viscous Burgers equation with quadratic nonlinearity. We give a precise description of a class of solutions to the viscous Burgers equation whose zeros undergo a pitchfork bifurcation. Thus, we take $f(u) = u^2$ in (4.1) and consider the initial value problem for the Burgers equation:

$$(4.10) \quad \begin{cases} u_t = u_{xx} + 2uu_x, & t > 0, \\ u(0, x) = u_0(x), & x \in \mathbb{R}. \end{cases}$$

As is well-known, (4.10) can be solved explicitly using the Cole–Hopf transformation (see section 3.6 in [13]). We will use the decomposition near the stationary shock-wave solution $\phi(x) = \tanh(x)$ of (4.10) to show that the pitchfork bifurcation of Proposition 4.2 does happen within finite time for all solutions of (4.10) with spatially odd initial data u_0 having a single zero on $(0, \infty)$. The main result is given by the following proposition.

Proposition 4.7. *Let $u_0 \in C^\infty(\mathbb{R})$ satisfy the following:*

- $u_0 \mp 1$, u_0' and u_0'' are L^2 -integrable on \mathbb{R}_\pm ;
- $u_0(-x) = -u_0(x)$ for $x \in \mathbb{R}$;
- for some $x_0 \in \mathbb{R}_+$, we have $u_0(x) < 0$ for $x \in (0, x_0)$ and $u_0(x) > 0$ for $x \in (x_0, \infty)$.

Then, there exist a time $t_0 \in (0, \infty)$ and $\xi \in C^\infty((0, t_0), \mathbb{R}_+)$ such that the solution $u \in C^\infty((0, \infty) \times \mathbb{R}, \mathbb{R})$ to the initial-value problem (4.10) satisfies the following:

- (i) $\lim_{x \rightarrow \pm\infty} u(t, x) = \pm 1$ for $t \geq 0$;
- (ii) $u(t, -x) = -u(t, x)$ for $t \geq 0$ and $x \in \mathbb{R}$;
- (iii) $u(t, x) < 0$ for $x \in (0, \xi(t))$ and $u(t, x) > 0$ for $x \in (\xi(t), \infty)$ if $t \in [0, t_0)$;
- (iv) $u(t, x) > 0$ for $x \in (0, \infty)$ if $t \geq t_0$.

Moreover, we have $u_x(t_0, 0) = 0$, $u_{xx}(t_0, 0) = 0$, and $u_{xxx}(t_0, 0) > 0$.

Remark 4.8. For $u \in C^\infty((0, \infty) \times \mathbb{R}, \mathbb{R})$ and $\xi \in C^\infty((0, t_0), \mathbb{R}_+)$ in Proposition 4.7, we obtain the identities (4.4), (4.5), (4.6), and (4.7) since the assumptions of Proposition 4.2 are satisfied.

Proof of Proposition 4.7. We use the decomposition of u at the stationary shock-wave solution $\phi(x) = \tanh(x)$ of $0 = 2uu_x + u_{xx}$ and write

$$(4.11) \quad u(t, x) = \tanh(x) + v(t, x).$$

The perturbation v (which is not necessarily small) satisfies

$$(4.12) \quad v_t = v_{xx} + 2vv_x + 2(\tanh(x)v)_x.$$

This nonlinear equation can be linearized with the Cole–Hopf transformation

$$(4.13) \quad v(t, x) = \partial_x \log \psi(t, x).$$

By substituting (4.13) into (4.12), we obtain the following linear advection-diffusion equation

$$(4.14) \quad \psi_t = \psi_{xx} + 2 \tanh(x)\psi_x.$$

We are looking for a solution of (4.14) that is bounded away from zero by a positive constant. Without loss of generality, this constant can be normalized to unity so that we can look for a solution of the form

$$(4.15) \quad \psi(t, x) = 1 + \hat{\psi}(t, x), \quad \hat{\psi}(t, \cdot) \in H^2(\mathbb{R}), \quad t \in \mathbb{R}_+.$$

To obtain the exact solution of (4.14), we write

$$(4.16) \quad \hat{\psi}(t, x) = \operatorname{sech}(x)\chi(t, x)$$

and obtain the linear diffusion equation with constant dissipation for χ :

$$(4.17) \quad \chi_t = \chi_{xx} - \chi.$$

The solutions of this linear equation are given by

$$(4.18) \quad \chi(t, x) = \frac{e^{-t}}{\sqrt{4\pi t}} \int_{\mathbb{R}} \chi_0(y) e^{-\frac{(x-y)^2}{4t}} dy,$$

where $\chi_0 := \chi(0, \cdot)$ denotes the initial condition. The associated solution of the Burgers equation (4.14) is then obtained from (4.11), (4.13), (4.15), and (4.16) in the form

$$(4.19) \quad u(t, x) = \frac{\sinh(x) + \chi_x(t, x)}{\cosh(x) + \chi(t, x)},$$

where $\chi(t, x)$ is given by (4.18).

If $\chi_0 \in C^\infty(\mathbb{R})$ satisfies $1 + \chi_0''(0) < 0$ and $\chi_0(0) > 0$, then

$$u_0'(0) = (1 + \chi_0''(0))/(1 + \chi_0(0)) < 0$$

so that there exists a root $x_0 \in \mathbb{R}_+$ of u_0 . The positive root x_0 must be unique by the assumptions on u_0 . Thus, we find by (4.11), (4.13), (4.15), and (4.16) that the assumptions on u_0 are in one-to-one correspondence with the class of even functions $\chi_0 \in C^\infty(\mathbb{R})$ such that $\operatorname{sech}(\cdot)\chi_0 \in H^2(\mathbb{R})$ and

- $\chi_0(x) > 0$ for all $x \in \mathbb{R}$,
- $x \mapsto \cosh(x) + \chi_0''(x)$ is monotonically increasing on \mathbb{R}_+ with $1 + \chi_0''(0) < 0$.

Now, take such $\chi_0 \in C^\infty(\mathbb{R})$. Then, $\cosh(x) + \chi_0(x) > 0$ for all $x \in \mathbb{R}$, and $\sinh(x) + \chi_0'(x)$ has a single root $x_0 \in (0, \infty)$. Since χ_0 is even, so is $\chi \in C^\infty((0, \infty) \times \mathbb{R}, \mathbb{R})$, which implies that $u(t, \cdot) \in C^\infty((0, \infty) \times \mathbb{R}, \mathbb{R})$ is spatially odd so that (ii) holds. Furthermore, $\operatorname{sech}(\cdot)\chi_0 \in H^2(\mathbb{R})$ ensures by (4.18) that $\operatorname{sech}(\cdot)\chi(t, \cdot) \in H^2(\mathbb{R})$ for all $t \geq 0$. Since $\hat{\psi}(t, \cdot) \in H^2(\mathbb{R})$ for all $t \geq 0$, we have from (4.11), (4.13), and (4.15) that $\lim_{x \rightarrow \pm\infty} v(t, x) = 0$ and $\lim_{x \rightarrow \pm\infty} u(t, x) = \pm 1$ so that (i) holds.

It follows from the exact solution (4.18) that, for every $t \geq 0$, we have $\chi(t, x) > 0$ for all $x \in \mathbb{R}$ and that $x \mapsto \cosh(x) + \chi_{xx}(t, x)$ is monotonically increasing on $(0, \infty)$. Hence,

$\cosh(x) + \chi(t, x) > 0$ for all $x \in \mathbb{R}$, and $\sinh(x) + \chi_x(t, x)$ has a single root $\xi(t) \in (0, \infty)$ for $t \in [0, t_0)$ as long as $1 + \chi_{xx}(t, 0) < 0$. Since

$$\chi_{xx}(t, 0) = \frac{e^{-t}}{\sqrt{4\pi t}} \int_{\mathbb{R}} \chi_0''(y) e^{-\frac{y^2}{4t}} dy$$

and $\text{sech}(\cdot)\chi_0 \in H^2(\mathbb{R})$, the mapping $t \mapsto \chi_{xx}(t, 0)$ is monotonically increasing from a negative value $\chi_0''(0) < -1$ towards 0 as $t \rightarrow +\infty$. Hence, there exists a unique time $t_0 \in \mathbb{R}_+$ such that $1 + \chi_{xx}(t, 0)$ crosses 0 at $t = t_0$ and becomes positive for $t > t_0$ so that (iii) and (iv) hold.

Let us now show the nondegeneracy assumption at $t = t_0$, for which $u_x(t_0, 0) = 0$. Since the solution is smooth and spatially odd, we also have $u_{xx}(t_0, 0) = 0$. Since the mapping $t \mapsto \chi_{xx}(t, 0)$ is monotonically increasing and $t \mapsto \chi(t, 0)$ is monotonically decreasing, then $t \mapsto u_x(t, 0)$ is monotonically increasing, where

$$u_x(t, 0) = \frac{1 + \chi_{xx}(t, 0)}{1 + \chi(t, 0)}.$$

Thus, $u_{tx}(t_0, 0) > 0$ and the Burgers equation in (4.10) implies that $u_{xxx}(t_0, 0) > 0$. ■

Figure 4.2 gives an illustration of the exact solution to the Burgers equation (4.10) obtained by means of (4.18) and (4.19). The initial condition for (4.18) is set as $\chi_0(x) := \cosh^2(1)\text{sech}(x)$ so that the initial condition u_0 for (4.10) has a positive zero at $x = 1$. The integration of the exact solution in (4.18) was executed by using a numerical integration package. The root $\xi(t)$ of $u(t, \cdot)$ on $(0, \infty)$ exists for $t \in [0, t_0)$, coalesces at 0 at $t = t_0$, and disappears for $t > t_0$, where $t_0 \approx 0.205$. The solution $u(t, x)$ approaches the stationary shock wave $\phi(x) = \tanh(x)$ as $t \rightarrow \infty$, which is represented by the dashed line.

5. Numerical simulations in the modular Burgers equation. Here, we report on numerical simulations of the viscous Burgers equation with modular nonlinearity. The associated initial value problem reads

$$(5.1) \quad \begin{cases} u_t = u_{xx} + |u|_x, & t > 0, \quad x \in \mathbb{R}, \\ u(0, x) = u_0(x), & x \in \mathbb{R}. \end{cases}$$

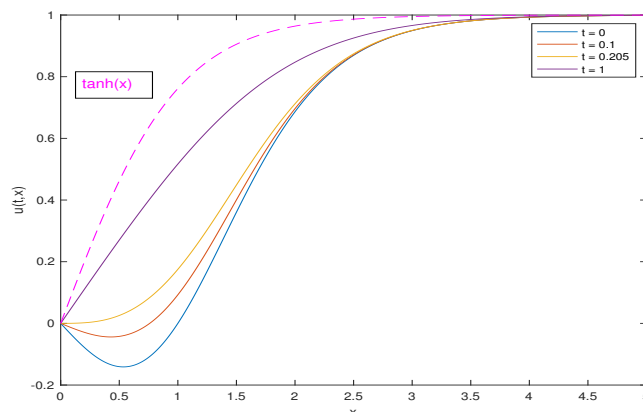


Figure 4.2. Numerical solution of the Burgers equation (4.10) illustrating the finite-time extinction of a multiple shock.

Numerical computations in [15] implemented the finite-difference method for spatially odd solutions of (5.1) (see Lemma 3.8), for which the initial-value problem (5.1) can be closed on the half-line $[0, \infty)$ subject to a Dirichlet boundary condition at $x = 0$. The jump condition (3.27) was used at $x = 0$ as well as at $x = \pm\xi(t)$. The three interfaces were transformed to time-independent grid points after a scaling transformation.

Here, we will confirm the scaling law (1.5) of the finite-time extinction. Compared to the previous numerical simulations in [15], we use a regularization for the modular nonlinearity in the initial-value problem (5.1), for which the finite-difference method can be implemented without any additional equations for the interface dynamics. The numerical data is extracted from zeros of the solution $u(t, \cdot)$ on $(0, \infty)$ to determine the power of the scaling law of the interface coalescence.

5.1. Regularization. The modular Burgers equation can be rewritten as

$$(5.2) \quad u_t = u_{xx} + \operatorname{sgn}(u)u_x,$$

where $\operatorname{sgn}(u)$ has a jump discontinuity at $u = 0$. To smoothen out the jump, we define the following smooth nonlinearity for $\varepsilon > 0$:

$$f'_\varepsilon(u) := \frac{u}{\sqrt{\varepsilon^2 + u^2}}.$$

We have $f'_\varepsilon(u) \rightarrow \operatorname{sgn}(u)$ as $\varepsilon \rightarrow 0$ for all $u \in \mathbb{R}$; i.e., $f'_\varepsilon(u)$ converges pointwise to $\operatorname{sgn}(u)$. This yields the regularized equation

$$(5.3) \quad u_t = u_{xx} + \frac{u}{\sqrt{\varepsilon^2 + u^2}}u_x.$$

We consider initial data $u(0, x) = u_0(x)$ for shock and antishock waves with the boundary condition $u_0(x) \rightarrow u_\pm$ as $x \rightarrow \pm\infty$, where u_\pm have opposite signs. The case of $u_- < 0 < u_+$ includes a monotone, steadily traveling shock wave, to which the evolution of small exponentially decaying perturbations converges [10]. The antishock case of $u_- > 0 > u_+$ does not admit any steadily traveling shock-wave solutions.

For the simulation of shock-wave solutions with the normalized asymptotic limits $u_\pm = \pm 1$, we take the following initial condition:

$$(5.4) \quad u_0(x) = \tanh(x) \left(1 - e^{\alpha(1-x^2)} \right),$$

where $\alpha > 0$ is a free parameter. The parameter $\alpha > 0$ can be used to construct slopes of the initial data at $x = 1$. For the simulation of antishock-wave solutions with the normalized asymptotic limits $u_\pm = \mp 1$, we take the negative version of (5.4); that is,

$$(5.5) \quad u_0(x) = -\tanh(x) \left(1 - e^{\alpha(1-x^2)} \right).$$

Both in (5.4) and (5.5), the convergence of $u_0(x) \rightarrow u_\pm$ as $x \rightarrow \pm\infty$ is exponentially fast.

5.2. Finite-difference method. We rewrite the regularized Burgers equation (5.3) in the equivalent form,

$$(5.6) \quad u_t = u_{xx} + f_\varepsilon(u)_x$$

with $f_\varepsilon(u) = \sqrt{\varepsilon^2 + u^2} - \varepsilon$.

We will use the Crank–Nicolson method based on the trapezoidal rule to set up our numerical simulations for (5.6). For the numerical discretization, we first define the spatial domain $[0, L]$ partitioned into $(N + 1)$ grid points with spatial step h and the time domain $[0, T]$ partitioned into $(M + 1)$ grid points with time step τ . We let x_n for $0 \leq n \leq N$ be the spatial grid point and t_m for $0 \leq m \leq M$ be the temporal grid point. We impose a Dirichlet condition at $x = 0$, which yields $u_0^m = 0$, and a Neumann condition at $x = L$. By using the virtual grid point $x_{N+1} > L$, the Neumann condition reads $u_{N+1}^m = u_{N-1}^m$.

The Crank–Nicolson method is based on the discretization rule,

$$u_n^{m+1} = u_n^m + \frac{\tau}{2h^2} [u_{n+1}^m - 2u_n^m + u_{n-1}^m + u_{n+1}^{m+1} - 2u_n^{m+1} + u_{n-1}^{m+1}] + \frac{\tau}{4h} [f_\varepsilon(u_{n+1}^m) - f_\varepsilon(u_{n-1}^m) + f_\varepsilon(u_{n+1}^{m+1}) - f_\varepsilon(u_{n-1}^{m+1})].$$

We need to solve N equations for N unknowns $\{u_n^{m+1}\}_{n=1}^N$ at each $0 \leq m \leq M - 1$. Hence, we rearrange the discretization scheme to get the unknown variables on the left and the known variables on the right as

$$(5.7) \quad \begin{aligned} u_n^{m+1} + \frac{\tau}{h^2} u_n^{m+1} - \frac{\tau}{2h^2} (u_{n+1}^{m+1} + u_{n-1}^{m+1}) - \frac{\tau}{4h} [f_\varepsilon(u_{n+1}^{m+1}) - f_\varepsilon(u_{n-1}^{m+1})] \\ = u_n^m + \frac{\tau}{2h^2} (u_{n+1}^m + u_{n-1}^m) - \frac{\tau}{h^2} u_n^m + \frac{\tau}{4h} [f_\varepsilon(u_{n+1}^m) - f_\varepsilon(u_{n-1}^m)]. \end{aligned}$$

To simplify the expression, we use a predictor-corrector method (also known as Heun's method). The idea is to use the solution at an initial point u^m and to calculate an initial guess value of the next point $(u^*)^{m+1}$. Heun's method then improves this initial guess value using the trapezoidal rule to determine a better estimate of the next term u^{m+1} .

To represent the predictor-corrector method, we introduce two matrices:

$$A_\pm = \begin{bmatrix} 1 \pm \frac{\tau}{h^2} & \mp \frac{\tau}{2h^2} & 0 & \cdots & 0 \\ \mp \frac{\tau}{2h^2} & 1 \pm \frac{\tau}{h^2} & \mp \frac{\tau}{2h^2} & \cdots & \vdots \\ \vdots & \mp \frac{\tau}{2h^2} & \ddots & \ddots & \\ \vdots & \vdots & \ddots & \ddots & \ddots \\ \vdots & \vdots & \mp \frac{\tau}{2h^2} & 1 \pm \frac{\tau}{h^2} & \mp \frac{\tau}{2h^2} \\ 0 & 0 & \cdots & \mp \frac{\tau}{h^2} & 1 \pm \frac{\tau}{h^2} \end{bmatrix},$$

where the elements of A_\pm at the $(N, N - 1)$ entry are doubled due to the Neumann condition $u_{N+1}^m = u_{N-1}^m$. We also represent the regularized terms in matrix vector notion,

$$b(u^m) = \begin{bmatrix} f_\varepsilon(u_2^m) \\ f_\varepsilon(u_3^m) - f_\varepsilon(u_1^m) \\ f_\varepsilon(u_4^m) - f_\varepsilon(u_2^m) \\ \vdots \\ f_\varepsilon(u_N^m) - f_\varepsilon(u_{N-2}^m) \\ 0 \end{bmatrix},$$

where we note that $f_\varepsilon(0) = 0$ by construction of f_ε and the Dirichlet condition and $f_\varepsilon(u_{N+1}^m) - f_\varepsilon(u_{N-1}^m) = 0$ by the Neumann condition $u_{N+1}^m = u_{N-1}^m$. The prediction step is computed from (5.7) by Euler's method as

$$(5.8) \quad (u^*)^{m+1} = A_+^{-1} \left(A_- u^m + \frac{\tau}{2h} b(u^m) \right).$$

The correction step is computed from (5.7) by Heun's method as

$$(5.9) \quad u^{m+1} = A_+^{-1} \left(A_- u^m + \frac{\tau}{4h} b(u^m) + \frac{\tau}{4h} b((u^*)^{m+1}) \right).$$

We now extract the interface position $\xi(t_m)$ from u^m at $t = t_m$ by finding the two adjacent grid points x_n and x_{n+1} , where u_n and u_{n+1} are of opposite signs. By the straight line interpolation between (x_n, u_n) and (x_{n+1}, u_{n+1}) , we obtain

$$u(x) = \left(\frac{u_{n+1} - u_n}{x_{n+1} - x_n} \right) (x - x_n) + u_n.$$

The value of $\xi(t_m)$ is obtained by finding the root of u as

$$(5.10) \quad \xi(t_m) = \frac{u_n x_{n+1} - u_{n+1} x_n}{u_n - u_{n+1}}.$$

5.3. Numerical simulations for shock waves. We have performed iterations on the domain $[0, L]$ discretized with the grid size $h = 0.01$. The time step was chosen to be $\tau = 0.0005$. Moreover, we took $\varepsilon = 10^{-16}$.

Figure 5.1 depicts the outcome of numerical simulations of the regularized approximation (5.6) of the modular Burgers equation (5.2) for the initial condition (5.4) with $\alpha = 1$, for which we take $L = 5$. It is observed that $\xi(t)$ indeed goes to 0 in finite time, after which numerical computations can be continued. Yet, we stop them since we are only interested in the dynamics up to coalescence.

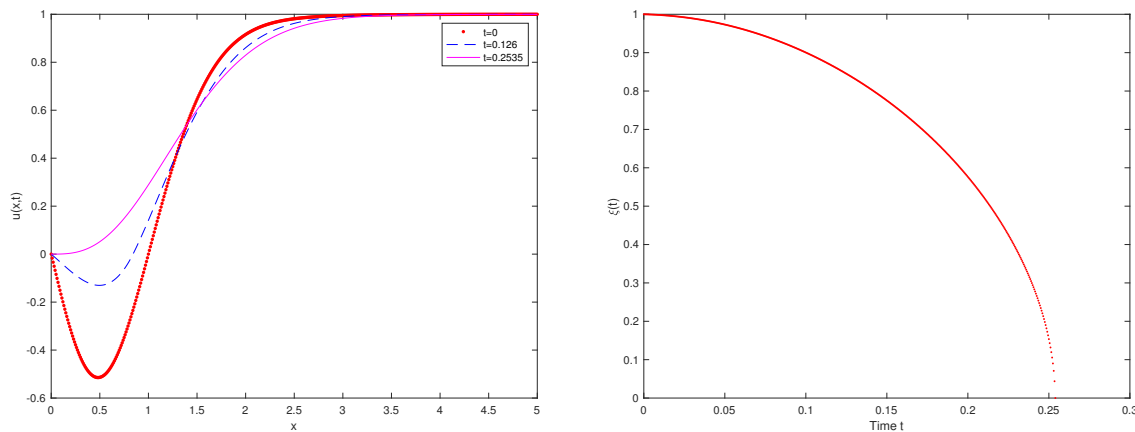


Figure 5.1. Evolution of (5.6) for the initial data (5.4) with $\alpha = 1$. Left: $u(t, x)$ versus x for different times. Right: evolution of $\xi(t)$ versus t .

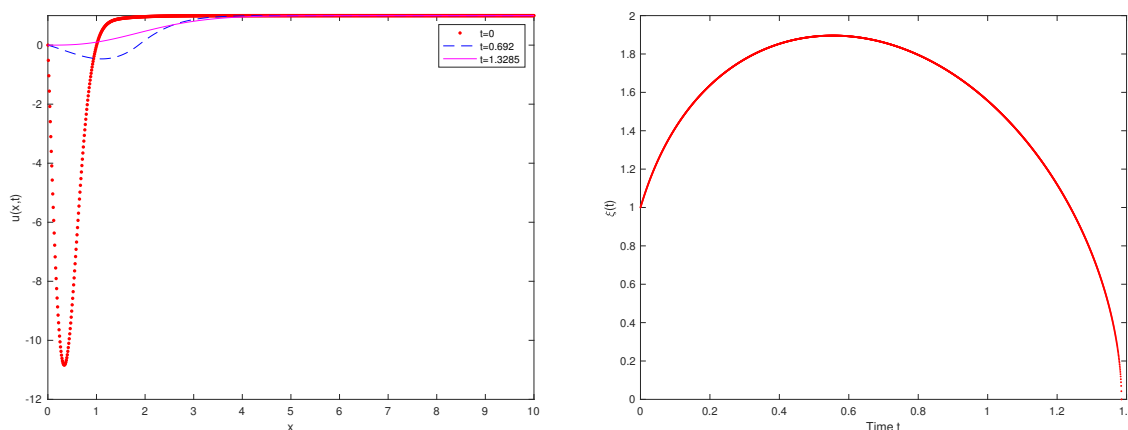


Figure 5.2. The same as in Figure 5.1 but with $\alpha = 4$.

We have also performed numerical simulations for the initial condition (5.4) with $\alpha = 4$ shown in Figure 5.2. For these simulations, we have taken $L = 10$ to avoid the boundary effects from the Neumann boundary condition at $x = L$. With smaller values of L , the solution decays below 1 at $x = L$ before the interface reaches 0. Although the initial condition u_0 has larger negative parts on $[0, 1]$, we observe that $\xi(t)$ still goes to 0 in a finite time. Compared to Figure 5.1, $\xi(t)$ is nonmonotone because it first expands before it converges to 0.

To confirm the scaling law (1.5) of the interface coalescence, we use linear regression in the log-log variable to approximate the associated power. That is, we consider

$$(5.11) \quad \log \xi(t) \text{ versus } c_1 \log(t_0 - t) + c_2,$$

where the coefficient c_1 represents the power of the scaling law. Note that the regression (5.11) depends on the unknown time t_0 of the interface coalescence. Thus, we first conduct computations for t_0 defined on a numerical grid and obtain the best fit by minimizing the approximation error.

The outcomes of these computations are depicted in Figures 5.3 and 5.4 for the approximations shown in Figures 5.1 and 5.2. The left panel shows the power c_1 versus t_0 , and the right panel shows the corresponding approximation error

$$(5.12) \quad E := \sum_{m=1}^M |\log \xi(t_m) - c_1 \log(t_0 - t_m) - c_2|^2$$

versus t_0 , where $\{t_m\}_{m=1}^M$ is the temporal grid before the termination time t_0 . The minimal error for $\alpha = 1$ is attained at $t_0 = 0.2538$, and this value of t_0 corresponds to $c_1 = 0.5068$. The minimal error for $\alpha = 4$ is attained at $t_0 = 1.3853$, and this value of t_0 corresponds to $c_1 = 0.5127$. In both cases, the power is close to the claimed value of 0.5. We note that the time t_0 of extinction is larger for $\alpha = 4$ than for $\alpha = 1$.

5.4. Numerical simulations for antishock waves. We have also simulated (5.6) for the antishock wave initial condition (5.5). Figures 5.5 and 5.6 depict the outcomes of numerical

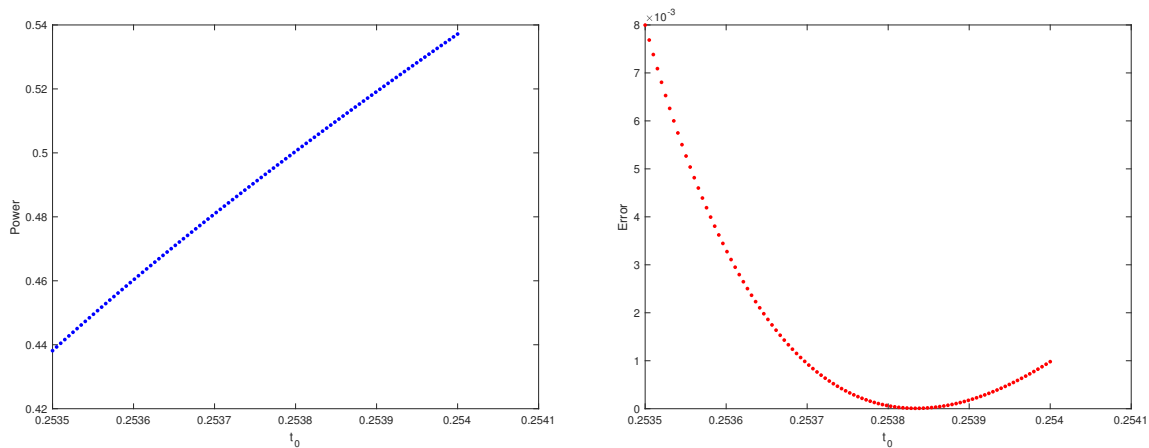


Figure 5.3. Left: power c_1 of the linear regression (5.11) for Figure 5.1 versus t_0 . Right: approximation error E in (5.12) versus t_0 .

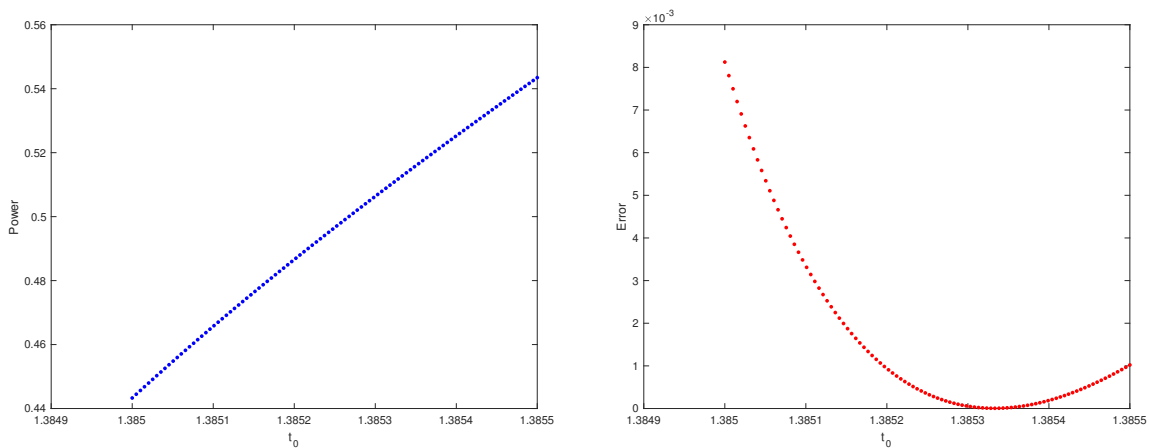


Figure 5.4. The same as in Figure 5.3 but for the data in Figure 5.2.

simulations for $\alpha = 1$ and $\alpha = 4$, respectively. For $\alpha = 1$, the interface position $\xi(t)$ goes to 0 monotonically, similar to the computations in Figure 5.1. For $\alpha = 4$, $\xi(t)$ first expands and then reduces towards 0, similar to Figure 5.2.

Figures 5.7 and 5.8 show the power c_1 of the linear regression (5.11) and the approximation error E in (5.12) versus t_0 for the simulations shown in Figures 5.5 and 5.6. The minimum error for $\alpha = 1$ is attained at $t_0 = 0.3284$, and this value of t_0 corresponds to the power $c_1 = 0.4846$. The minimum error for $\alpha = 4$ is attained at $t_0 = 1.4459$, and this value of t_0 corresponds to $c_1 = 0.4884$. In both cases, the power is close to 0.5, and thus, the scaling law (1.5) is shown numerically to hold for antishock-wave solutions considered here. However, the finite time of extinction is slightly larger for the antishock waves compared to that of the shock waves both for $\alpha = 1$ and $\alpha = 4$.

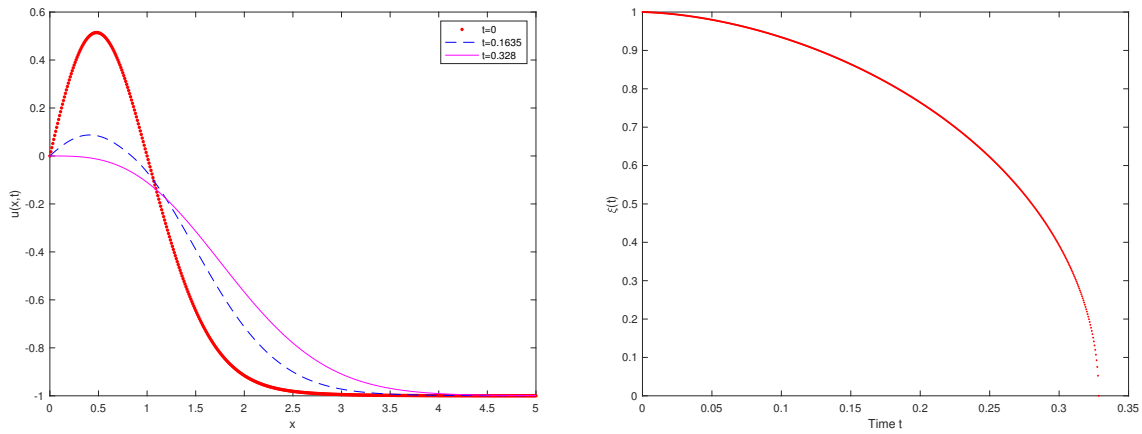


Figure 5.5. Evolution of (5.6) for the initial data (5.5) with $\alpha = 1$. Left: $u(t, x)$ versus x for different times. Right: evolution of $\xi(t)$ versus t .

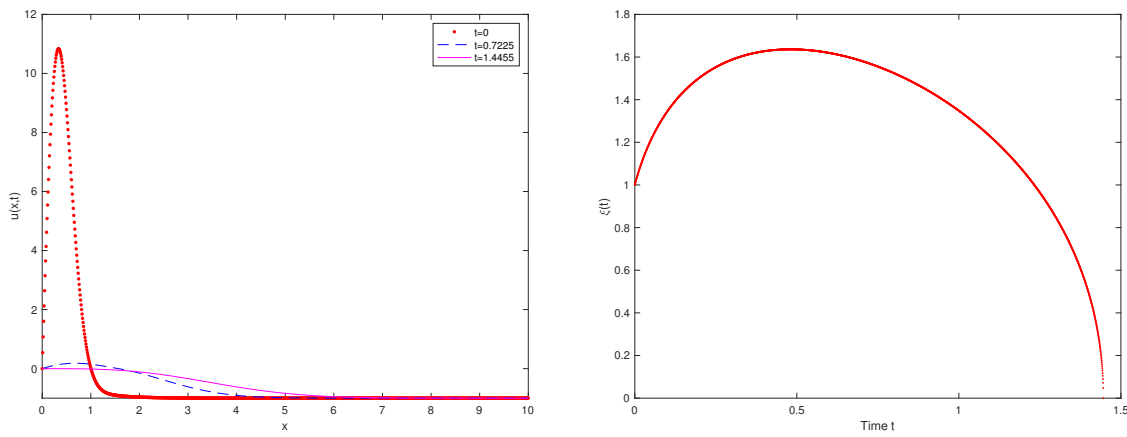


Figure 5.6. The same as in Figure 5.5 but with $\alpha = 4$.

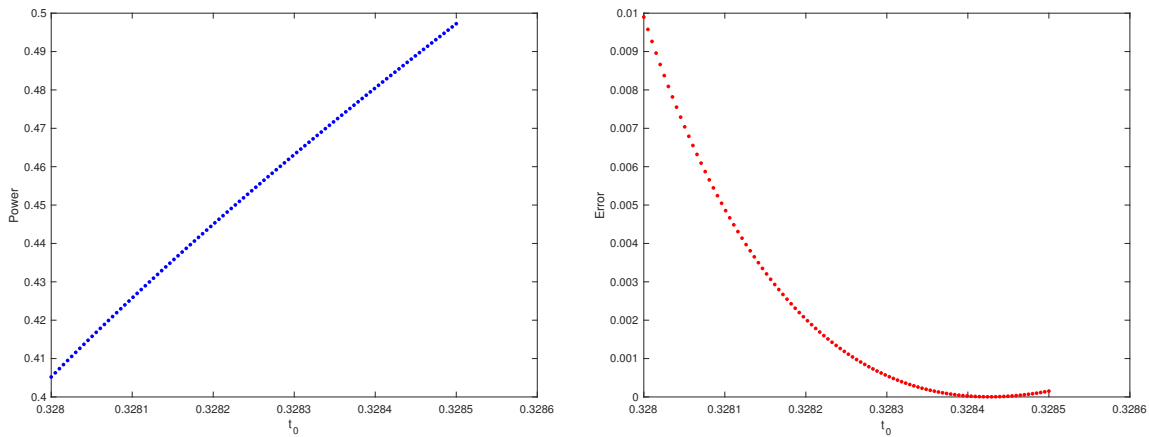


Figure 5.7. Left: power c_1 of the linear regression (5.11) for Figure 5.5 versus t_0 . Right: approximation error E in (5.12) versus t_0 .

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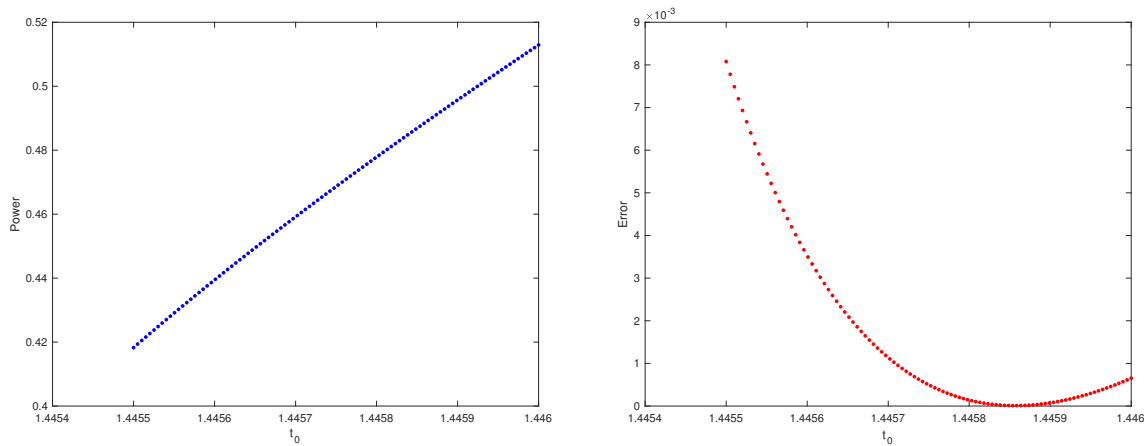


Figure 5.8. The same as in Figure 5.7 but for the data in Figure 5.6.

Appendix A. Proofs of well-posedness and approximation results. Here, we provide proofs of the well-posedness and approximation results stated in section 2. Local well-posedness of the scalar viscous conservation law (1.1), as well as approximation by solutions of the regularized equation (2.1), follows from standard theory for semilinear parabolic equations (cf. [11]), whereas global well-posedness relies on the comparison principle; cf. [16, 19].

Proof of Lemma 2.1. First, it is well-known that ∂_x^2 is a sectorial operator on $C_{\text{ub}}(\mathbb{R})$ with domain $C_{\text{ub}}^2(\mathbb{R})$ and that there exists a constant $C > 0$ such that

$$(A.1) \quad \left\| \partial_x^m e^{\partial_x^2 t} u \right\|_{\infty} \leq C t^{-\frac{m}{2}} \|u\|_{\infty}$$

for $m = 0, 1, 2$, $t > 0$, and $u \in C_{\text{ub}}(\mathbb{R})$; see also (3.28). Second, the map $N: C_{\text{ub}}^1(\mathbb{R}) \rightarrow C_{\text{ub}}(\mathbb{R})$ given by $N(u) = f'(u)u_x$ is locally Lipschitz continuous since f is smooth. Third, $C_{\text{ub}}^1(\mathbb{R})$ is an intermediate space of class $J_{1/2}$ between $C_{\text{ub}}(\mathbb{R})$ and $C_{\text{ub}}^2(\mathbb{R})$. Hence, it follows from standard analytic semigroup theory (cf. [11]) that there exist a maximal time $T \in (0, \infty]$ and a unique classical solution

$$u \in C([0, T], C_{\text{ub}}^1(\mathbb{R})) \cap C((0, T), C_{\text{ub}}^2(\mathbb{R})) \cap C^1((0, T), C_{\text{ub}}(\mathbb{R}))$$

of (1.1) with initial condition $u(0, \cdot) = u_0 \in C_{\text{ub}}^1(\mathbb{R})$. Moreover, if we have $T < \infty$, then it holds that $\limsup_{t \rightarrow T^-} \|u(t, \cdot)\|_{W^{1, \infty}} = \infty$. A standard bootstrapping argument, using the fact that $f \in C^\infty(\mathbb{R})$, then yields $\partial_t^k u(t, \cdot) \in C_{\text{ub}}^l(\mathbb{R})$ for any $k, l \in \mathbb{N}_0$ and $t \in [0, T)$, implying that $u \in C^\infty((0, T) \times \mathbb{R}, \mathbb{R})$.

It is well-known [16, 19] that the scalar conservation law (1.1) obeys a comparison principle yielding $m_0 \leq u(t, \cdot) \leq M_0$ for all $t \in [0, T)$ upon comparison with the constant solutions $u \equiv m_0$ and $u \equiv M_0$ of (1.1). Differentiating the mild formulation of (1.1), we obtain

$$(A.2) \quad \begin{aligned} u_x(t, \cdot) &= e^{\partial_x^2 t} u'_0 + \int_0^{t(1-\delta)} \partial_x^2 e^{\partial_x^2(t-s)} f(u(s, \cdot)) ds \\ &\quad + \int_{t(1-\delta)}^t \partial_x e^{\partial_x^2(t-s)} f'(u(s, \cdot)) u_x(s, \cdot) ds \end{aligned}$$

for $t \in [0, T)$, where $\delta \in (0, 1)$ will be fixed a posteriori. Let $R \geq 1$ be such that

$$\sup\{|f(v)| + |f'(v)| : v \in [m_0, M_0]\} \leq R.$$

Fix some $\tau \in [0, T)$. Taking norms in (A.2) while using (A.1) and the fact that $m_0 \leq u(t, \cdot) \leq M_0$, we establish that

$$\begin{aligned} \|u_x(t, \cdot)\|_\infty &\leq C\|u_0\|_{W^{1,\infty}} + \int_0^{t(1-\delta)} \frac{CR}{t-s} ds + \int_{t(1-\delta)}^t \frac{CR \sup\{\|u_x(s, \cdot)\|_\infty : s \in [0, \tau]\}}{\sqrt{t-s}} ds \\ &\leq C \left(\|u_0\|_{W^{1,\infty}} + R|\log(\delta)| + 2R\sqrt{\delta t} \sup\{\|u_x(s, \cdot)\|_\infty : s \in [0, \tau]\} \right) \end{aligned}$$

for all $t \in [0, \tau]$. Thus, setting $\delta = \frac{1}{16C^2R^2 \max\{1, \tau\}} \in (0, 1)$ and taking suprema in the latter inequality, we arrive at

$$\sup\{\|u_x(s, \cdot)\|_\infty : s \in [0, \tau]\} \leq 2C \left(\|u_0\|_{W^{1,\infty}} + R \log(16C^2R^2 \max\{1, \tau\}) \right)$$

for all $\tau \in [0, T)$. We conclude that $\limsup_{t \rightarrow T^-} \|u(t, \cdot)\|_{W^{1,\infty}} = \infty$ cannot occur, implying that $T = \infty$ and the classical solution is global. ■

Proof of Lemma 2.2. Recall that ∂_x^2 is a sectorial operator on $C_{\text{ub}}(\mathbb{R})$ satisfying (A.1). In addition, the flux function $f : C_{\text{ub}}(\mathbb{R}) \rightarrow C_{\text{ub}}(\mathbb{R})$ is locally Lipschitz continuous. Hence, by a standard fixed-point argument as in the proofs of [11, Theorem 7.1.2 and Proposition 7.2.1], there exist a maximal time $T \in (0, \infty]$ and a unique solution $u \in C([0, T), C_{\text{ub}}(\mathbb{R}))$ of (2.2). Moreover, if $T < \infty$, then it holds that $\limsup_{t \rightarrow T^-} \|u(t, \cdot)\|_\infty = \infty$.

Let $\tilde{f} \in C^\infty(\mathbb{R})$ be a function satisfying

$$\sup\{|f(v) - \tilde{f}(v)| : v \in [-m_0, M_0]\} < \delta$$

for some $\delta > 0$. By Lemma 2.1, there exists a unique global classical solution

$$\tilde{u} \in C([0, \infty), C_{\text{ub}}^1(\mathbb{R})) \cap C((0, \infty), C_{\text{ub}}^2(\mathbb{R})) \cap C^1((0, \infty), C_{\text{ub}}(\mathbb{R}))$$

of the integral equation

$$(A.3) \quad \tilde{u}(t, \cdot) = e^{\partial_x^2 t} u_0 + \int_0^t \partial_x e^{\partial_x^2(t-s)} \tilde{f}(\tilde{u}(s, \cdot)) ds$$

satisfying $m_0 \leq \tilde{u}(t, \cdot) \leq M_0$ for all $t \geq 0$. From (2.2) and (A.3), we obtain

$$(A.4) \quad u(t, \cdot) - \tilde{u}(t, \cdot) = \int_0^t \partial_x e^{\partial_x^2(t-s)} \left(f(u(s, \cdot)) - f(\tilde{u}(s, \cdot)) + f(\tilde{u}(s, \cdot)) - \tilde{f}(\tilde{u}(s, \cdot)) \right) ds$$

for all $t \in [0, T)$. Denote by $L > 0$ the Lipschitz constant of f on $[m_0 - 1, M_0 + 1]$. Fix some $\tau \in [0, T)$. Taking norms in (A.4), we arrive at

$$\|u(t, \cdot) - \tilde{u}(t, \cdot)\|_\infty \leq C \int_0^t \frac{L\|u(s, \cdot) - \tilde{u}(s, \cdot)\|_\infty + \delta}{\sqrt{t-s}} ds$$

for any $t \in [0, \tau)$ with $\sup\{\|u(s, \cdot) - \tilde{u}(s, \cdot)\|_\infty : s \in [0, t]\} \leq 1$. Hence, Grönwall's lemma [11, Lemma 7.0.3] yields a constant $C_0 > 0$, depending only on C , L , and τ , such that

$$(A.5) \quad \|u(t, \cdot) - \tilde{u}(t, \cdot)\|_\infty \leq C_0 \delta$$

for all $t \in [0, \tau)$ with $\sup\{\|u(s, \cdot) - \tilde{u}(s, \cdot)\|_\infty : s \in [0, t]\} \leq 1$. Take

$$0 < \delta \leq \frac{1}{2C_0}.$$

If

$$\sup\{\|u(s, \cdot) - \tilde{u}(s, \cdot)\|_\infty : s \in [0, \tau)\} > 1,$$

then, by continuity, there must exist $t \in [0, \tau)$ with

$$\sup\{\|u(s, \cdot) - \tilde{u}(s, \cdot)\|_\infty : s \in [0, t]\} = 1.$$

However, (A.5) then implies that

$$\|u(s, \cdot) - \tilde{u}(s, \cdot)\|_\infty \leq \frac{1}{2}$$

for any $s \in [0, t]$, which yields a contradiction. Hence, we have

$$(A.6) \quad \sup\{\|u(s, \cdot) - \tilde{u}(s, \cdot)\|_\infty : s \in [0, \tau)\} \leq 1,$$

and (A.5) is satisfied for all $t \in [0, \tau)$, which proves the second estimate in (2.4).

Assuming that $T < \infty$ and taking $\tau = T$ in the previous estimates, we find that (A.6) and $m_0 \leq \tilde{u}(t, \cdot) \leq M_0$ for all $t \geq 0$ contradict $\limsup_{t \rightarrow T^-} \|u(t, \cdot)\|_\infty = \infty$. Therefore, we must have $T = \infty$, and $u(t, \cdot)$ is global.

Since it holds that $m_0 \leq \tilde{u}(t, \cdot) \leq M_0$ for all $t \geq 0$ and, in addition, $\delta > 0$ can be chosen arbitrarily small in the previous estimates, it follows that $m_0 \leq u(t, \cdot) \leq M_0$ for all $t \geq 0$ by (A.5). \blacksquare

Proof of Lemma 2.3. First, Lemma 2.2 implies that $m_0 \leq u(t, \cdot) \leq M_0$ for all $t \geq 0$. Second, there exist by Lemma 2.2 constants $\tilde{M}, \tilde{\delta}_0 > 0$ such that, if we take $\delta \in (0, \tilde{\delta}_0)$, then there exists a unique global classical solution (2.3) of (2.1) satisfying $m_0 \leq \tilde{u}(t, \cdot) \leq M_0$ for all $t \geq 0$ and $\sup_{s \in [0, \tau]} \|u(s, \cdot) - \tilde{u}(s, \cdot)\|_\infty \leq \tilde{M}\delta$. Thus, $\tilde{u}(t, \cdot)$ solves the mild formulation (A.3). Subtracting (A.3) from (2.2) and differentiating, we obtain

$$(A.7) \quad \begin{aligned} u_x(t, \cdot) - \tilde{u}_x(t, \cdot) &= \int_0^{t(1-\delta)} \partial_x^2 e^{\partial_x^2(t-s)} (f(u(s, \cdot)) - f(\tilde{u}(s, \cdot))) ds \\ &+ \int_0^{t(1-\delta)} \partial_x^2 e^{\partial_x^2(t-s)} (f(\tilde{u}(s, \cdot)) - \tilde{f}(\tilde{u}(s, \cdot))) ds \\ &+ \int_{t(1-\delta)}^t \partial_x e^{\partial_x^2(t-s)} (f'(u(s, \cdot)) - \tilde{f}'(\tilde{u}(s, \cdot))) u_x(s, \cdot) ds \\ &+ \int_{t(1-\delta)}^t \partial_x e^{\partial_x^2(t-s)} \tilde{f}'(\tilde{u}(s, \cdot)) (u_x(s, \cdot) - \tilde{u}_x(s, \cdot)) ds \end{aligned}$$

for all $t \in [0, \tau]$. Denote by $L > 0$ the Lipschitz constant of f on $[m_0, M_0]$, and set $K = \sup\{\|u_x(s, \cdot)\|_\infty : 0 \leq s \leq \tau\}$ and $R_1 = \sup\{|f'(v)| : v \in [m_0, M_0]\}$. Thus, taking norms in (A.7) while using (A.1), we arrive at

$$\begin{aligned} \|u_x(t, \cdot) - \tilde{u}_x(t, \cdot)\|_\infty &\leq C \int_{t(1-\delta)}^t \frac{K(R+R_1)}{\sqrt{t-s}} ds + C \int_0^t \frac{R\|u_x(s, \cdot) - \tilde{u}_x(s, \cdot)\|_\infty}{\sqrt{t-s}} ds \\ &\quad + C \int_0^{t(1-\delta)} \frac{\delta(1+L\tilde{M})}{t-s} ds \end{aligned}$$

for all $t \in [0, \tau]$. Hence, Grönwall's lemma [11, Lemma 7.0.3] yields a constant $M > 0$, independent of δ and t , such that

$$\|u_x(t, \cdot) - \tilde{u}_x(t, \cdot)\|_\infty \leq M\sqrt{\delta}$$

for all $t \in [0, \tau]$. Thus, taking $\delta_0 < \min\{\tilde{\delta}_0, \varepsilon^2/(M^2), \varepsilon/\tilde{M}\}$, we establish the second estimate in (2.5). ■

Proof of Lemma 2.4. We switch to the co-moving frame $\xi = x - ct$, in which (1.1) reads

$$(A.8) \quad w_t = w_{\xi\xi} + cw_\xi + f(w)_\xi.$$

If $w(t, \cdot)$ is a mild solution of (A.8) with initial condition $w(0, \cdot) = u_0$, then the difference $z = w - \phi$ is a mild solution of

$$(A.9) \quad z_t = (z_\xi + cz + f(z + \phi(\xi)) - f(\phi(\xi)))_\xi$$

and has initial condition $z_0 = u_0 - \phi \in C_{\text{ub}}^1(\mathbb{R}) \cap L^1(\mathbb{R})$. The integrated version of (A.9) reads

$$(A.10) \quad v_t = v_{\xi\xi} + cv_\xi + f(v_\xi + \phi(\xi)) - f(\phi(\xi)),$$

where the relevant solution has initial condition $v_0 \in C_{\text{ub}}^2(\mathbb{R})$ given by

$$v_0(\xi) = \int_{-\infty}^{\xi} z_0(y) dy.$$

First, the nonlinearity $N: C_{\text{ub}}^1(\mathbb{R}) \rightarrow C_{\text{ub}}(\mathbb{R})$ given by $N(v) = cv_\xi + f(v_\xi + \phi) - f(\phi)$ is well-defined and locally Lipschitz continuous. Second, ∂_ξ^2 is a sectorial operator on $C_{\text{ub}}(\mathbb{R})$ with dense domain $C_{\text{ub}}^2(\mathbb{R})$. Third, $C_{\text{ub}}^1(\mathbb{R})$ is an intermediate space of class $J_{1/2}$ between $C_{\text{ub}}(\mathbb{R})$ and $C_{\text{ub}}^2(\mathbb{R})$. Therefore, standard analytic semigroup theory (cf. [11, Theorem 7.1.2 and Propositions 7.1.10 and 7.2.1]) yields a maximal time $T \in (0, \infty]$ and a solution $v \in C([0, T], C_{\text{ub}}^2(\mathbb{R}))$ of

$$(A.11) \quad v(t, \cdot) = e^{\partial_\xi^2 t} v_0 + \int_0^t e^{\partial_\xi^2(t-s)} (cv_\xi(s, \cdot) + f(v_\xi(s, \cdot) + \phi) - f(\phi)) ds.$$

Moreover, if $T < \infty$, then we must have $\limsup_{t \rightarrow T^-} \|v(t, \cdot)\|_{W^{1,\infty}} = \infty$. Differentiating (A.11) with respect to ξ and setting $z = v_\xi$, we obtain

$$(A.12) \quad z(t, \cdot) = e^{\partial_\xi^2 t} z_0 + \int_0^t \partial_\xi e^{\partial_\xi^2(t-s)} (cz(s, \cdot) + f(z(s, \cdot) + \phi) - f(\phi)) ds.$$

Hence, $z \in C([0, T], C_{\text{ub}}^1(\mathbb{R}))$ is a mild solution of (A.9) with initial condition z_0 . Thus, we have

$$v_\xi(t, \xi) = z(t, \xi) = w(t, \xi) - \phi = u(t, \xi + ct) - \phi(\xi),$$

where $u \in C([0, \infty), C_{\text{ub}}(\mathbb{R}))$ is the global mild solution of (A.8), established in Lemma 2.2, satisfying $\|u(t, \cdot)\|_\infty \leq \|u_0\|_\infty$ for $t \geq 0$. So, it holds that

$$\|v_\xi(t, \cdot)\|_\infty = \|z(t, \cdot)\|_\infty \leq \|u_0\|_\infty + \|\phi\|_\infty$$

for all $t \geq 0$. Taking norms in (A.11) and using (A.1), we arrive at

$$(A.13) \quad \|v(t, \cdot)\|_\infty \leq C \left(\|v_0\|_\infty + t \sup_{0 \leq s \leq t} \|cz(s, \cdot) + f(z(s, \cdot) + \phi) - f(\phi)\|_\infty \right).$$

Clearly, the right-hand side of (A.13) does not blow up as $t \rightarrow T^-$, yielding $T = \infty$. Thus, we have obtained a global solution $u \in C([0, \infty), C_{\text{ub}}^1(\mathbb{R}))$ of (2.2).

Finally, we establish L^1 -integrability of $u(t, \cdot) - \phi$ for all $t \geq 0$. Since ϕ is bounded and f is locally Lipschitz continuous, we observe that the nonlinearity $G: L^1(\mathbb{R}) \cap C_{\text{ub}}(\mathbb{R}) \rightarrow L^1(\mathbb{R}) \cap C_{\text{ub}}(\mathbb{R})$ given by $G(z) = cz + f(z + \phi) - f(\phi)$ is well-defined and locally Lipschitz continuous. On the other hand, ∂_ξ^2 is a sectorial operator on $C_{\text{ub}}(\mathbb{R}) \cap L^1(\mathbb{R})$, and there exists a constant $C > 0$ such that

$$(A.14) \quad \left\| \partial_\xi^m e^{\partial_\xi^2 t} g \right\|_p \leq Ct^{-\frac{m}{2}} \|g\|_p$$

for $p = 1, \infty$, $m = 0, 1$, and $g \in L^p(\mathbb{R})$. Hence, by a standard fixed-point argument as in the proofs of [11, Theorem 7.1.2 and Proposition 7.2.1], there exist a maximal time $\tau \in (0, \infty]$ and a unique solution $z \in C([0, \tau), C_{\text{ub}}(\mathbb{R}) \cap L^1(\mathbb{R}))$ of (A.12) such that, if $\tau < \infty$, we have $\limsup_{t \rightarrow \tau^-} \|z(t, \cdot)\|_{L^1 \cap L^\infty} = \infty$. We argue by contradiction and assume that $\tau < \infty$. Let $L > 0$ be the Lipschitz constant of f on $[-\|u_0\| - \|\phi\|_\infty, \|u_0\|_\infty + \|\phi\|_\infty]$. Taking norms in (A.12) and using (A.14), we arrive at

$$\|z(t, \cdot)\|_1 \leq C \left(\|z_0\|_1 + \int_0^t \frac{(|c| + L)\|z(s, \cdot)\|_1}{\sqrt{t-s}} ds \right)$$

for $t \in [0, \tau)$. Hence, Grönwall's lemma [11, Lemma 7.0.3] yields a constant $M > 0$, depending only on $C, |c|, \tau$, and L , such that

$$\|z(t, \cdot)\|_1 \leq M \|z_0\|_1$$

for all $t \in [0, \tau)$. Combining the latter with $\|z(t, \cdot)\|_\infty \leq \|u_0\|_\infty + \|\phi\|_\infty$ for all $t \in [0, \tau)$ yields a contradiction with $\limsup_{t \rightarrow \tau^-} \|z(t, \cdot)\|_{L^1 \cap L^\infty} = \infty$. Therefore, we must have $\tau = \infty$. We conclude that $z(t, \cdot) = w(t, \cdot) - \phi = u(t, \cdot + ct) - \phi$, and thus, $u(t, \cdot) - \phi$ itself, is L^1 -integrable for all $t \geq 0$. ■

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